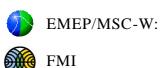
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METEOROLOGISK INSTITUTT Norwegian Meteorological Institute

# Transboundary Acidification, Eutrophication and Ground Level Ozone in Europe

# PART I

# Unified EMEP Model Description.



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## Contents

Ac	know	ledgements	vii
1	Intro	oduction	1
	1.1	Updates and Web-site	3
2	Phys	sical Description of the Model	5
	2.1	Domain and Model-Coordinates	5
	2.2	The continuity equation	6
	2.3	Advection	8
		2.3.1 Time step control	9
	2.4	Implementation of the model on a parallel computer	9
3	Met	eorology	11
	3.1	PARLAM-PS Data	11
	3.2	Stability parameters	13
	3.3	Eddy Diffusion Coefficients	14
		3.3.1 $K_z$ , stable boundary layer and above the PBL	14
		3.3.2 $K_z$ , unstable boundary layer	14
	3.4	Boundary Layer Height	15
4	Emi	ssions	17
	4.1	Anthropogenic Emissions	17
	4.2	Biogenic emissions	19
5	Lan	duse	23
	5.1	Landuse for Deposition Modelling	23
	5.2	Landuse for Biogenic Emissions	25

6	Initi	ial and Boundary Conditions	27
	6.1	Ozone	28
		6.1.1 Global datasets for Ozone:	29
		6.1.2 The 'Mace-Head' adjustment	29
	6.2	Prescribed values	30
	6.3	Trends in boundary conditions	32
7	Che	emistry	33
	7.1	Species used	33
	7.2	Photo-dissociation rates	33
	7.3	Chemical Mechanism	34
	7.4	Sulphate production	36
		7.4.1 Gas phase	38
		7.4.2 Aqueous phase	38
	7.5	Ammonium sulphate and ammonium nitrate	39
	7.6	Nitrate production	40
	7.7	Secondary organic aerosol and other chemistries	42
	7.8	Numerical solution	42
8	Drv	Deposition	49
	8.1	Resistance formulation	49
	8.2	Aerodynamic Resistance, $R_a$	50
	8.3	Quasi-laminar layer resistance, $R_b$	51
	8.4	Surface resistance, $R_c$	51
	8.5	Stomatal conductance	52
	8.6	Non-stomatal resistances	54
	0.0	8.6.1 Ammonia, $G_{ns}^{NH_3}$	54
		8.6.2 Ozone, $G_{ns}^{O_3}$	55
		8.6.3 Sulphur Dioxide, $G_{ns}^{SO_2}$	57
		8.6.4 Extension to Other gases $\ldots$	57
		8.6.5 Humidity effects	58
	8.7	Canopy conductance - non-vegetative surfaces	58
	8.8	Aerosol dry deposition	58
	0.0	8.8.1 Gravitational settling, $v_s$	59
		8.8.2 Quasi-laminar layer resistance, $R_b$	59
		8.8.3         Bounce-off	61
0	<b>TT</b> 7 4		$\mathcal{O}$
9		t Deposition	<b>63</b>
	9.1	In-cloud scavenging	63
	9.2	Below-cloud scavenging	63
Re	eferen	nces	65

Α	Aero	osol Dynamics Model (UNI-AERO)	A:1
	A.1	Introduction	A:1
	A.2	Emissions	A:2
		A.2.1 Primary anthropogenic $PM_{2.5}$ and $PM_{10}$ emissions	A:2
		A.2.2 Sea salt generation	A:4
	A.3	Chemistry	A:5
		A.3.1 Sulphate production	A:5
		A.3.2 Gas/aerosol partitioning	A:6
	A.4	Aerosol dynamics	A:6
		A.4.1 Nucleation	A:8
		A.4.2 Condensation	A:8
		A.4.3 Coagulation	A:9
		A.4.4 Mode merging	A:10
	A.5	Aerosol water content	A:10
	A.6	Cloud processing of aerosols	A:11
		A.6.1 Accumulation particles	A:11
		A.6.2 Aitken particles	A:11
	A.7	Dry deposition	A:11
	A.8	Wet scavenging	A:12
	Refe	rences to Appendix A	A:13
B	Calc	ulations of AOTx and Stomatal Flux	<b>B:1</b>
	<b>B</b> .1	Introduction	<b>B</b> :1
	B.2	Ozone concentrations at the canopy height	B:2
	B.3	Calculation of AOTx	B:2
	B.4	Stomatal Flux Calculations	B:3
	B.5	Modelling for Wheat, Potato and Beech	B:4
	Refe	rences to Appendix B	B:7

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## CHAPTER 1

## Introduction

This report presents a detailed documentation of the EMEP MSC-W modelling system, as of August 2003 (latest model revision rv1.8). The formulations used by the model are given, along with details of input data-sets or appropriate references. The aim of this report is a concise description rather than discussion – the latter is left for more extended reports and publications on specific subjects.

The modelling tools previously available at EMEP MSC-W consisted of two main Eulerian models, the acidification model (MADE) of Berge and Jakobsen (1998), Olendrzyński et al. (2000), and the oxidant model (MACHO) of Jonson et al. (1997, 1998, 2001). Additionally there were two Lagrangian models, one for acidification (Hov et al. 1988, Iversen 1990) and one for photo-oxidants (Simpson 1993, 1995). After years of separate development these models had codes which differed from each other in numerous ways, and even different physical descriptions of processes such as dry deposition and aqueous chemistry.

The new unified modelling system has been designed to provide a common core to all MSC-W modelling activities, building upon one Eulerian model structure. In the new system the only differences between say the acidification and oxidant versions lie in the chemical equations solved, and in the various inputs associated with this (for example, emissions and boundary conditions).

We use the word "revision" to denote the state of progress of the whole modelling system. Table 1.1 summarises some of the revisions used for important projects, and places these these different model versions in context. Revision rv1.7 was used for the model evaluation work reported in the accompanying EMEP Report 1/2003 Part II. Revision rv1.8 reflects some small changes made while this documentation was underway, but the model results are very similar to rv1.7.

The EMEP modelling system allows several options with regard to the chemical schemes used, and the possibility of including aerosol dynamics. We use the word

Revision	Comments
rv1.1	Improved seasonal variation for dry deposition. Vertical exchange mod- ifi ed. Used for most TROTREP Calculations, initial CITY DELTA runs
rv1.2	Sub-grid deposition scheme, stomatal-flux calculation
rv1.6	Added co-deposition for $SO_2$ , $NH_3$ , explicit H2O2 loss for SO2. Used
	for final TROTREP Calculations and CITY DELTA project
rv1.7	'Mace-Head' correction to boundary conditions introduced. Common
	landuse for biogenic and deposition. Used in EMEP Report 1/2003,
	Part II
rv1.8	Consistency improvements
Note	es: TROTREP: http:/atmos.chem.le.ac.uk/trotrep

Table 1.1: Recent Revisions of the Unified EMEP model system

Notes: TROTREP: http://atmos.chem.le.ac.uk/trotrep CITY DELTA http://rea.ei.jrc.it/netshare/thunis/ citydelta

Table 1.2: Summary of standard model versions, including number of advected species  $(N_{adv})$  and of short-lived species  $(N_{sh})$ .

	$N_{adv}$	$N_{sh}$	Purpose and Comment
UNI-ACID	12	0	Simple chemistry for acidifi cation, eutrophica-
			tion, and primary particles
UNI-OZONE	56	15	Full oxidant chemistry, plus acidification, eu-
			trophication, and primary particles
UNI-AERO	29	0	Dynamic aerosol physics (research model)

"version" to distinguish between the different possibilities. In principal, the new modelling system can run any chemistry with relatively little effort, but we have two standard chemistries, UNI-ACID and UNI-OZONE, derived from the earlier acidification and oxidant applications of the model. Additionally, the model version with aerosol dynamics is labelled UNI-AERO. Table 1.2 summarises these versions. For current policy runs, the UNI-OZONE chemistry is used as standard.

The core subroutines handling physics, meteorology and both the UNI-ACID and UNI-OZONE chemistries are derived from previous EMEP models and have been subject to extensive testing (e.g. Tarrasón, L. ed). These core-modules may now be regarded as relatively stable, and hence form the main focus of this report. The aerosol dynamics model (UNI-AERO) is comparatively very new to the EMEP model system and the data required to evaluate this model properly are only now becoming available (for example, proper evaluation would require emissions inventories to carry

information on number density and chemical composition of emissions - something which has hardly started in Europe). This UNI-AERO version of the model is therefore more of a research tool at present and thus more likely to change in future than the ACID and OZONE model versions.

Chapters 2–9 document the core modules of the Unified EMEP model, including physical structure, emissions, background concentrations and details of the ACID and OZONE versions. Appendix A presents the current status of the aerosol dynamics model. Appendix B presents new methodologies needed for calculating vegetation-specific AOTx values and stomatal flux of ozone.

## 1.1 Updates and Web-site

The Unified model has been developed and tested to such an extent that it is hoped that this report will serve as basic documentation for some years. A HTML version of this report will be available on the EMEP web-site (http://www.emep.int), along with supplementary information. However, the model will be of course be subject to change as improved methods are introduced. It is anticipated that these changes will be documented through updates to the web-documentation. It is recommended therefore that the web-site is always consulted in conjunction with this report. \_\_\_\_\_

## CHAPTER 2

## Physical Description of the Model

### 2.1 Domain and Model-Coordinates

The basic physical formulation of the EMEP model is unchanged from that of Berge and Jakobsen (1998). The model uses the same horizontal and vertical grid as the meteorological data (chapter 3). A polar-stereographic projection, true at  $60^{\circ}$ N, is used. Details of this projection and the conversion to and from latitude-longitude are given elsewhere (http://www.emep.int, also Posch et al. 2001, Appendix A). At  $60^{\circ}$  N the the grid-size is  $50 \times 50$  km<sup>2</sup>.

Figure 2.1 illustrates the horizontal domain and grid of the EMEP model. As illustrated here, the full model domain is larger than the offi cial EMEP area. The relationship between the model coordinates and offi cial EMEP coordinates is straightforward:

$$x_{mod} = x_{off} + 35.0 
 y_{mod} = y_{off} + 11.0 
 (2.1)$$

The model is defined vertically with so-called  $\sigma$  coordinates:

$$\sigma = \frac{p - p_T}{p^*} \tag{2.2}$$

where  $p^* = p_S - p_T$  and p,  $p_S$  and  $p_T$  are the pressure at level  $\sigma$ , at the surface, and at the top of the model domain (currently 100 hPa), respectively. The model currently uses 20 vertical levels, as illustrated in Figure 2.2. The model system uses an inverted vertical numbering coordinate, k, with values from k = 1 for the highest layer to k = 20 for the layer nearest the ground. Figure 2.3 shows the lowest two layers in this system, with the  $\sigma$  levels from Figure 2.2 as solid lines, and the 'mid'-layers for which

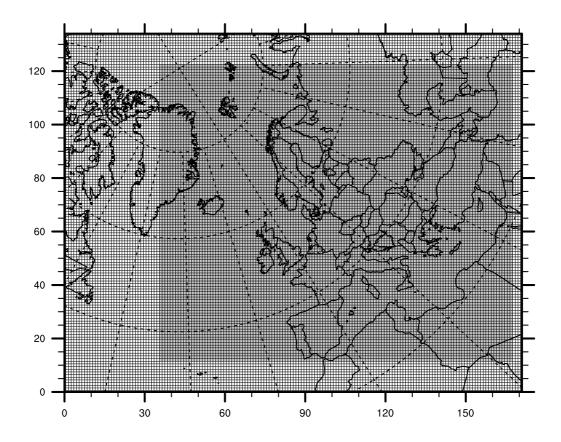


Figure 2.1: The EMEP Model Domain. The large area shows the full model domain and **model** coordinate system. The inner domain shows the offi cial EMEP grid. The relationship between the offi cial grid coordinates and the model grid coordinates is given in eqn [2.1].

meteorology is generally provided as dashed lines. Diffusion coefficients and vertical velocity, given by  $\dot{\sigma} (= d \sigma / d t)$ , are valid for the layer boundaries.

## 2.2 The continuity equation

If we let C represent the mixing ratio (kg/kg-air) of any pollutant, the continuity equation may we written

$$\frac{\partial}{\partial t}(Cp^*) = -m^2 \nabla_H \cdot \left(\frac{\mathbf{V}_H}{m}(Cp^*)\right) - \frac{\partial}{\partial \sigma}(\dot{\sigma}Cp^*) + \frac{\partial}{\partial \sigma}\left[K_\sigma \frac{\partial}{\partial \sigma}(Cp^*)\right] + \frac{p^*}{\rho}S \quad (2.3)$$

The first two terms on the right hand side represent a flux divergence formulation

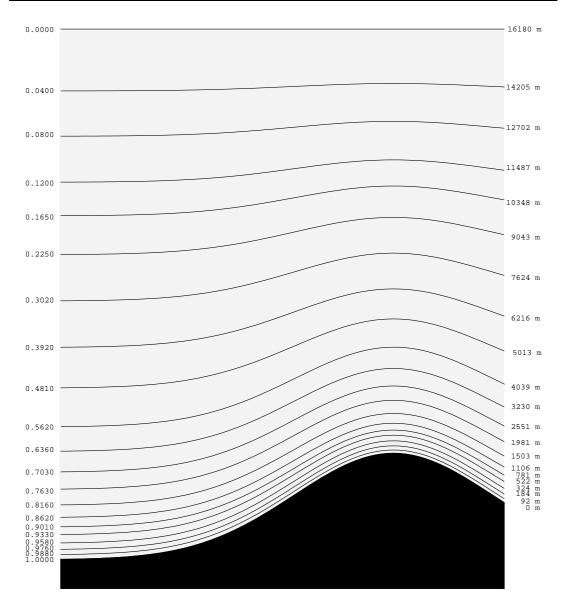


Figure 2.2: Vertical structure of the Unified EMEP model. The troposphere is represented in the model by 20  $\sigma$  layers. Sigma values for the boundaries of each level are shown on the left hand side of the figure. The corresponding height above the ground, computed for a standard atmosphere, is given on the right-hand side.

of the advective transport.  $V_H$  and  $\nabla_H$  are the horizontal wind vector and del operator respectively, and *m* is the map factor on a polar stereographic map projection.

The 3rd term on the right hand side of equation 2.3 represents the vertical eddy diffusion where g,  $\rho$  and  $K_{\sigma}$  are the gravitational acceleration, air density and vertical eddy diffusion coeffi cient respectively (in  $\sigma$ -coordinates). Horizontal eddy diffusion is not included in the model. S describes the chemical and other (deposition etc.) source

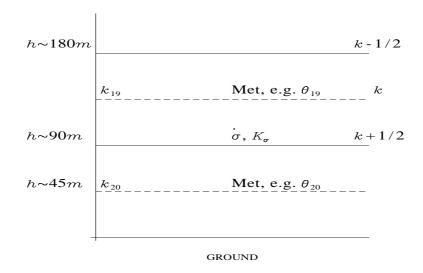


Figure 2.3: Lowest levels of the EMEP model, showing the layer boundaries at 90 m, 180 m (c.f. Figure 2.2) and the 'mid'-layers for which meteorology is generally provided.

and/or sink terms.

## 2.3 Advection

The numerical solution of the advection terms is based upon the scheme of Bott (1989a,b), as described in previous EMEP reports. The fourth order scheme is utilized in the horizontal directions. In the vertical direction a second order version applicable to variable grid distances is employed.

In our scheme the "air" (C=1 kg/kg-air) is also advected. After each advection step the new mixing ratios are found by dividing the result by the new "air concentrations":

$$C_x^{t+\Delta t} = \frac{(C_x p^*)^{t+\Delta t}}{(C_{air} p^*)^{t+\Delta t}}$$
(2.4)

where  $(C_x p^*)^{t+\Delta t}$  is the result obtained with the Bott-scheme for component x after a timestep  $\Delta t$ . This method ensures that, starting with a constant mixing ratio, the result will also be be a constant mixing ratio, independently of the value of the wind fields.

The present model is not monotonic, because a monotonic filter may increase the numerical diffusion. However the scheme will exclude possible negative values of the mixing ratios. (For more details see Wind et al. 2002).

Some changes have recently been introduced in the advection algorithms, in order to allow flexibility in the choice of the grid resolution and meteorological data. This work is described in detail in Wind et al. (2002), so only a brief outline is presented here.

#### **2.3.1** Time step control

Numerical diffusion is one of the main limitations to the accuracy of the model. The size of the numerical diffusion will depend on the Courant number which in turn depend on the advection time step ( $\Delta t_{advec}$ ). In order to optimize the size of the time step, an automatic control of the time step has been implemented in the model, allowing more than one elementary time step ( $\Delta t$ ) within one advection time step if required. Given values of the mapping factor, m, grid dimension  $\Delta x$  and wind-speed u, a maximum value for the time-step  $\Delta t$  is derived:

$$\Delta t_{max} \le \frac{\Delta x}{max(m_{j}^{2} u_{j}, 0) - min(m_{j}^{2} u_{j-1}, 0)}$$

This expression is evaluated over all grid cells, j. For the vertical direction the corresponding expression in  $\sigma$  coordinates is:

$$\Delta t_{max}^{vert} \le \frac{\Delta \sigma_j}{max(\dot{\sigma_j}, 0) - min(\sigma_{j-1}, 0)}$$

The time step is put to the same value for all cells in each horizontal direction although they can be different at different heights. The time step for the vertical advection can also be different from the time step in the horizontal directions, but all elementary time steps for the one dimensional advection have to be an integer fraction of  $\Delta t_{advec}$ .

## 2.4 Implementation of the model on a parallel computer

In order to produce results covering several years and several different situations, the model requires large computer resources. The program code is written in Fortran 90 and calculation are done at present on a SGI Origin 3800 supercomputer in Trondheim (Norway). The structure of the program is designed to allow for efficient parallelization on a system with distributed memory. The communication between processors is based on GC package of subroutines (Generalized Communication, GC is an interface to most existing communication systems, Amundsen and Skålin (1995)).

The horizontal grid is divided into a number of subdomains and each subdomain is assigned to a processor. Each processor holds only the data for its own subdomain. Because of this structure, the communication between the processors is kept to a minimum. Still the advection routines fundamentally require information to be passed between processors. The input/output of data is also a limitation of the level of parallellity which can be achieved. The meteorological data is stored on disc and has to be read serially and distributed to all the nodes. Also the writing of results cannot be done entirely in parallel. Further details on the parallel architecture of the code can be found in Skålin et al. (1995).

The most CPU demanding part of the program is the chemistry module, because of the large number of chemical components and reactions. The chemical reactions have to be described for all the grid-cells and with a small time scales. However the chemistry is local and is therefore perfectly suited for parallelization. The deposition and wet scavenging processes have only vertical data dependencies and will therefore also parallelize effectively with the partitioning adopted in the program.

A typical run covering one year will require about 11 real time hours (352 CPU hours) on 32 MIPS R14000 1200 Mflops processors. The typical relative CPU usage of the different part of the program are: Chemistry 70%, Advection 10%, Meteorology and input/output 10%, Synchronisation between nodes 10%.

The code is written in such a way that the number of nodes can be chosen as input, but the relative CPU usage of the chemistry will decrease with increasing number of processors whereas the relative time used for the input/output and synchronisation will increase.

## CHAPTER 3

## Meteorology

The unifi ed model uses 3-hourly resolution meteorological data from PARLAM-PS - a dedicated version of the HIRLAM (HIgh Resolution Limited Area Model) Numerical Weather Prediction (NWP) model, with parallel architecture (Sandnes Lenschow and Tsyro 2000, Bjørge and Skålin 1995). The PARLAM-PS data are archived over many years (currently back to 1980, though not continuously) and are typically produced one year after the current one (i.e. data for 2002 will be produced in 2003). The data produced are carefully checked and documented (Benedictow 2003).

## 3.1 PARLAM-PS Data

Table 3.1 summarises the PARLAM-PS meteorological fields currently used in the EMEP model. Most 3-D fields are provided at the centre of each model layer, thus we have 20 vertical fields (cf. Fig. 2.3). The vertical velocity, given by  $\dot{\sigma}$ , is provided at the layer boundaries. The horizontal location of meteorological data is illustrated in Figure 3.1 for the south-west corner of the model domain. The horizontal winds (*u* and *v*) are given on a staggered grid (this is also the case with the vertical vind component  $\dot{\sigma}$ ). All other variables (represented as *q* in the picture) are given in the centre of the grid.

Linear interpolation between the 3-hourly values is used to calculate values of these parameters at each advection step. A number of other parameters are derived from these, for example air density,  $\rho$ , and the stability parameters and boundary layer heights described below.

Solar radiation is also calculated at every time-step for the deposition calculations, and for photolysis rates, based upon instantaneous values of the solar zenith angle and the model's cloud cover. For these purposes, we define a total cloud fraction  $a_k$  for

$u, v_{(0,2)}$	•	•	•	•
	Q(1,2)	q(2,2)	Q(3,2)	Q(4,2)
$u, v_{\left(0,1\right)}$	•	•	•	•
	q <sub>(1,1)</sub>	q <sub>(2,1)</sub>	q <sub>(3,1)</sub>	Q(4,1)
	$\mathbf{u}, \mathbf{v}_{(1,0)}$	$\mathbf{u}, \mathbf{v}_{(2,0)}$	$\mathbf{u}, \mathbf{v}_{(3,0)}$	${\rm u.v_{(4,0)}}$

Figure 3.1: Horizontal placement of meteorological data, with wind components (u and v)and general variable q.

Parameter	Unit	Description	Main Purpose							
3D fi elds -	3D fi elds - for 20 $\sigma$ levels									
u,v	m/s	Wind velocity components	Advection							
q	kg/kg	Specifi c humidity	Chemical reactions, dry deposition							
$\dot{\sigma}$	$s^{-1}$	Vertical wind in $\sigma$ coordinates	vertical advection							
$\theta$	Κ	Potential temperature	Chemical reactions, eddy diffusion							
CL	%	Cloud cover	Wet removal, photolysis							
PR	mm	Precipitation	Wet and dry deposition							
2D fi elds -	for Surfa	ce								
Ps	hPa	Surface pressure	Surface air density							
$T_2$	Κ	Temperature at 2m height	Dry deposition, stability							
Н	$\mathrm{W}~\mathrm{m}^{-2}$	Surface flux of sensible heat	Dry deposition, stability							
au	${ m M}~{ m m}^{-2}$	Surface stress	Dry deposition, stability							
LE	${ m W}~{ m m}^{-2}$	Surface flux of latent heat	Dry deposition							

 Table 3.1: Archived Meteorological Data Used in EMEP Model

each model layer, such that  $a_k$  is equal to the maximum value of the local cloud cover from the 3-D fields for all layers above and including k (i.e. for 1...k, see Fig. 2.3). Thus, at ground level we have  $a_{20}$  which is equal to the maximum cloud amount found in any layer above. This particular values,  $a_{20}$ , is also referred to as  $a_{CL}$  for clarity in the rest of this report.

### **3.2** Stability parameters

Atmospheric stability values and functions are derived using standard similarity theory profi les as given in say Garratt (1992). The Monin-Obukhov length is given by:

$$L = -\frac{T_2 \cdot u_*^3 \cdot \rho \cdot c_p}{k \cdot g \cdot H}$$
(3.1)

where  $u_*$  is the friction velocity,  $(=\sqrt{\tau/\rho}, \text{m s}^{-1})$ ,  $c_p$  is the specific heat capacity of dry air (1005 J kg<sup>-1</sup> K<sup>-1</sup>),  $\rho$  is the air density (derived from surface pressure and temperature), k is von Karman's constant (0.41) and g is the gravitational acceleration (9.8 m s<sup>-2</sup>). The sign here is consistent with H directed away from the surface (positive H gives unstable conditions). The similarity profile function for heat,  $\Phi_h$ , is <sup>1</sup>:

$$\Phi_h(\zeta) = (1 - 16\zeta)^{-1/2} \qquad \text{if } \zeta < 0 \text{ (unstable)} \\ = 1 + 5\zeta \qquad \text{if } \zeta \ge 0 \text{ (stable)} \qquad (3.2)$$

where  $\zeta = z/L$ . The integral forms of the similarity functions for momentum  $(\Psi_m)$  and heat  $(\Psi_h)$  are:

$$\Psi_{m}(\zeta) = \ln\left[\left(\frac{1+x^{2}}{2}\right)\left(\frac{1+x}{2}\right)^{2}\right] - 2 \arctan x + \frac{\pi}{2} \qquad \text{if } \zeta < 0 \text{ (unstable)}$$

$$\Psi_{h}(\zeta) = 2\ln\left[\frac{1+x^{2}}{2}\right] \qquad \text{if } \zeta < 0 \text{ (unstable)}$$

$$\Psi_{m}(\zeta) = \Psi_{h}(\zeta) = -5\zeta \qquad \text{if } \zeta \ge 0 \text{ (stable)}$$

$$(3.3)$$

where  $x = (1 - 16\zeta)^{0.25}$ . The local, bulk Richardson number in the layer of thickness  $\Delta z$  is defined as

$$Ri = \frac{g}{\theta} \cdot \frac{\left(\frac{\Delta\theta}{\Delta z}\right)}{\left(\frac{\Delta V_H}{\Delta z}\right)^2} = \frac{g \cdot \Delta z \cdot \Delta\theta}{\theta \cdot (\Delta V_H)^2}$$
(3.4)

where  $\theta$  is potential temperature and  $V_H$  is the horizontal wind speed, so that  $(\Delta V_H)^2 = (\Delta u)^2 + (\Delta v)^2$ , and where for an arbitrary state variable q at model boundary level k + 1/2,  $\Delta q = q_k - q_{k+1}$  (c.f. Figure 2.3). Following Nordeng (1986), Pielke (2002), the critical Richardson number is given by:

$$Ri_c = A \cdot \left(\frac{\Delta z}{\Delta z_0}\right)^B \tag{3.5}$$

where A=0.115, B=0.175 and  $\Delta z_0=0.01$  m.

<sup>&</sup>lt;sup>1</sup>In earlier revisions of the EMEP model, including rv1.7, a slightly more complex formulation was used for  $\Phi_h$ , based upon both Businger et al. (1971) and Iversen and Nordeng (1987)

## **3.3 Eddy Diffusion Coefficients**

The turbulent diffusivity coefficients,  $K_z$ , are first calculated for the whole 3-D model domain on the basis of local Richardson numbers. The planetary boundary layer (PBL) height is then calculated using the methods of section 3.4. For stable situations (H < 0) these  $K_z$  values are retained. For unstable situations, new  $K_z$  values are calculated for layers below the mixing height using the O'Brien interpolation (section 3.3.2).

To avoid non physically small exchange coefficients within the boundary layer, we introduce a minimum  $K_z$  for turbulent exchange between model layers below the mixing height, given by eqn [3.9] and evaluated at the top of the lowest model layer i.e. at  $z \approx 90$  meters.

In sigma coordinates, the diffusion coeffi cient has the following form:

$$K_{\sigma} = K_z \cdot \rho^2 \cdot \left(\frac{g}{p^*}\right)^2 \tag{3.6}$$

#### **3.3.1** $K_z$ , stable boundary layer and above the PBL

The initial calculation of the vertical exchange coeffi cients is given by

$$K_{z} = \begin{cases} 1.1(Ri_{c} - Ri) l^{2} |\Delta V_{H} / \Delta z| / Ri_{c} & Ri \leq Ri_{c} \\ 0.001 & Ri > Ri_{c} \end{cases}$$
(3.7)

where l is the turbulent mixing length (m), and  $\Delta V_H$  and  $\Delta z$  are as given above. The numerical values follow from the suggestions of Blackadar (1979) and Pielke (2002).

The turbulent mixing length, l, is parameterized according to:

$$l = k \cdot z \quad z \le z_m$$
$$l = k \cdot z_m \quad z > z_m$$

where z is the height above the ground (actually above the displacement height, d, of PARLAM-PS) and  $z_m$ =200 m.

These values of  $K_z$  are applied to the free troposphere, above the PBL (mixing) height, and also for the stable PBL.

## **3.3.2** $K_z$ , unstable boundary layer

In the unstable case,  $K_z$  is determined by the O'Brien (1970) profile:

$$K_{z}(z) = K_{z}(z_{i}) + \left(\frac{z_{i}-z}{z_{i}-h_{s}}\right)^{2} \left\{ K_{z}(h_{s}) - K_{z}(z_{i}) + (z-h_{s}) \cdot \left[\frac{\delta}{\delta z}(K_{z}(h_{s})) + 2 \cdot \frac{K_{z}(h_{s}) - K_{z}(z_{i})}{z_{i}-h_{s}}\right] \right\} \qquad h_{s} \leq z < z_{i}$$
(3.8)

#### **CHAPTER 3. METEOROLOGY**

in which  $z_i$  is the mixing height and  $h_s$  is the height of the surface boundary layer (or the so-called constant flux layer). In the model calculation  $h_s$  is set to 4% of the mixing height  $z_i$ . From the similarity theory of Monin-Obukhov (see, e.g. Stull 1988, Garratt 1992) we have

$$K_z(z) = \frac{u_* \cdot k \cdot z}{\Phi\left(\frac{z}{L}\right)} \qquad z < h_s \tag{3.9}$$

where k is the von Karman constant and  $\Phi$  is the stability function given in eqns [3.2].

## 3.4 Boundary Layer Height

Following Jakobsen et al. (1995), the height of the planetary boundary layer is estimated on the basis the NWP data and  $K_z$  calculations discussed above. Two different schemes are used, depending on the sign of the surface sensible heat flux.

#### H < 0, stable

When H < 0, the height of the PBL in this case is taken as the height of the lowest level where  $K_z$ , calculated using equations [3.4,3.5,3.7], is less than 0.1 m<sup>2</sup> s<sup>-1</sup>. A minimum PBL height of 100 m is enforced.

#### H >= 0, unstable

If the PBL as a whole is unstable, the turbulent heat flux is directed upwards from the ground to the atmosphere. The heating from below will initiate convective mixing, which will cause the potential temperature in the PBL to be close to constant with height, following a dry adiabat. As the heating continues, the potential temperature will grow with time along with the thickness of the layer which is being convectively adjusted in the process.

We assume an adjustment time for distribution of heat throughout the PBL of one hour. Thus depending on the initial distribution of the potential temperature, the height of the unstable PBL is calculated as the thickness of the layer above the ground for which the change in the internal energy equals the heat input from the ground over one hour. Since both this thickness and the fi nal temperature initially are unknown, this is done by stepping level by level in the vertical direction calculating the increment in internal energy for the layer (i.e. consisting of several layers) between the ground and the fi nal level where the total change in internal energy equals the heat input from the ground. Finally, the PBL height is smoothed with a second order Shapiro fi lter in space (Shapiro 1970). The PBL height is not allowed to be less than 100 m or exceed 3000 m.

Examples of the mixing heights produced using this method can be found in Jakobsen et al. (1995), Fagerli and Eliassen (2002).

## CHAPTER 4

## Emissions

The emissions input required by EMEP model consists of gridded annual national emissions of sulphur dioxide (SO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>=NO+NO<sub>2</sub>), ammonia (NH<sub>3</sub>), non-methane volatile organic compounds (NMVOC), carbon monoxide (CO), and particulates (PM<sub>2.5</sub>, PM<sub>10</sub>). These emissions are provided for 10 anthropogenic source-sectors denoted by so-called SNAP codes. An eleventh source-sector exists in the offi cially-submitted database, "Other sources and sinks", but this consists almost entirely of emissions from natural and biogenic sources. Offi cially submitted emissions from such sources are not used in the modelling work, except for those from volcanoes. Section 4.2 below discusses the methods used for dealing with such emissions in the modelling framework. The procedures used for collecting anthropogenic emissions, fi lling-in gaps, and for spatial distribution can be found in Vestreng (2003). The emissions database is available from http:\\www.emep.int, and further details can be obtained at that site.

## 4.1 Anthropogenic Emissions

These emissions are distributed vertically according to a default distribution based upon the SNAP codes, as shown in Table 4.1. These distributions have been based upon plume-rise calculations performed for different types of emission source which are thought typical for different emission categories, under a range of stability conditions (Calculations by S. Vidič, Croatian Meteorological Institute, pers. comm.).

Emissions are distributed temporally according to monthly (Jan.-Dec.) and daily (Sun.-Sat.) factors derived from data provided by the University of Stuttgart (IER). These factors are specific to each pollutant, emission sector, and country, and thus reflect the very different climates and hence energy-use patterns in different parts of

No.	Sources		Heigh	t of Emi	ission L	ayer (m	)
		0–	92–	184–	324-	522-	781–
		92	184	324	522	781	1106
1	Combustion in energy and trans-	0	0	8	46	29	17
	formation industries						
2	Non-industrial combustion	50	50				
	plants						
3	Combustion in manufacturing	0	4	19	41	30	6
	industry						
4	Production processes	90	10				
5	Extraction and distribution of	90	10				
	fossil fuels and geothermal en-						
	ergy						
6	Solvents and other product use	100					
7	Road transport	100					
8	Other mobile sources and ma-	100					
	chinery						
9	Waste treatment and disposal	10	15	40	35		
10	Agriculture	100					

Table 4.1: Vertical distribution of Anthropogenic Emissions: Percentage of each SNAP sector allocated to the vertical layers of the EMEP model (given as heights of layers, in m).

Table 4.2: Day and night factors applied to anthropogenic emissions

SNAP:	1	2	3	4	5	6	7	8	9	10
Day	1.0	1.2	1.2	1.0	1.0	1.5	1.5	1.2	1.0	1.0
Night	1.0	0.8	0.8	1.0	1.0	0.5	0.5	0.8	1.0	1.0
Notes:	emiss	sions	from	interr	nation	al shi	pping	assu	med o	con-

stant throughout the day.

Europe. Simple day-night factors are also applied, where day is defined as 0700-1800 local time, as given in Table 4.2.

### **VOC** speciation

Speciation of VOC emissions are also specified separately for each source-sector, derived from the detailed United Kingdom speciation given in PORG (1993). The EMEP model uses a 'lumped-molecule' approach to VOC emissions and modelling, in which for example model species NC4H10 represents all C3+ alkanes, and o-xylene represents all aromatic species. Therefore, each of the species from the detailed UK

#### **CHAPTER 4. EMISSIONS**

inventory has been assigned to one of the EMEP model's species according to its reactivity and chemical composition, as given in Andersson-Sköld and Simpson (1997). Although the exact VOC speciation used can be varied to suit particular emission scenarios (e.g. Reis et al. 2000), a default split is typically used, as given in Table 4.3.

#### **Aircraft and Shipping**

Seasonally averaged aircraft emissions are included for  $NO_x$ , from Gardner et al. (1997), giving 3-D fi elds for the whole model domain.

Emissions from local, domestic ships, are included in source sector 8 of the national databases. Emissions from international shipping are specified in a separate database.

As noted in Vestreng (2003) total releases of  $SO_2$ ,  $NO_x$ , NMVOC and CO from ship traffic in the Atlantic Ocean, the North Sea, the Baltic Sea, the Black Sea and the Mediterranean are used as estimated by Lloyd's Register of Shipping. These emissions refer to 1990 and are disaggregated onto the EMEP grid. For PM<sub>10</sub>, the emissions from shipping for the year 2000 from ENTEC (facilitated to EMEP from the European Commission, DG Environment) are included. These annual emissions are assumed constant through the year and day.

## 4.2 **Biogenic emissions**

#### **NMVOC**

Biogenic emissions of isoprene and (if required) monoterpenes are calculated in the model as a function of temperature and solar radiation, using the landuse datasets described in Chapter 5. Calculations are performed at every model timestep, using surface temperature ( $T_2$ ) and photosynthetically active radiation (PAR), the latter being calculated from the model's solar radiation, modified by the total cloud fraction ( $a_{CL}$ , section 3.1). The basic system is based upon Guenther et al. (1993, 1994):

$$F = \epsilon D\gamma \tag{4.1}$$

Where F is the emission flux,  $\epsilon$  is the emission rate for a particular species at a reference temperature of 30°C and photosynthetically active radiation of 1000  $\mu$ mole m<sup>-2</sup> s<sup>-1</sup>, D is the biomass density, and  $\gamma$  is a dimensionless environmental correction factors representing the effects of temperature and PAR. The equations used for the  $\gamma$ -factors are as given in Guenther et al. (1993) and Simpson et al. (1995). The correction factor for temperature is illustrated in Figure 4.1.

The emission rates ( $\epsilon$ ) and biomass densities (D) of forest species are taken directly from Simpson et al. (1999). For our two vegetation categories seminatural vegetation

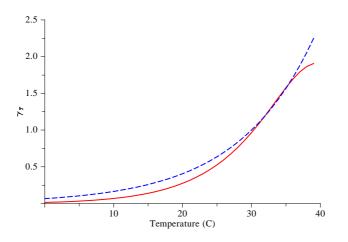


Figure 4.1: Temperature-variation of biogenic VOC emissions ( $\gamma$ -factor) as a function of temperature for isoprene (solid line) and monoterpenes (dashed line).

and Mediterranean scrub (SNL and MS, see chapter 5, Table 5.1) we adopt rates applied for similar species from Simpson et al. (1999), which for isoprene entails that  $D = 200 \text{ g m}^{-2}$  dry-weight, and  $\epsilon = 8 \mu \text{g g}^{-1} \text{ h}^{-1}$ .

#### DMS

Biogenic emissions of di-methly-sulphide (DMS) are input as monthly average emission files, derived from Tarrasón et al. (1995). These DMS emissions are treated as  $SO_2$  on input to the calculations.

#### Lightning

Emissions of NO<sub>x</sub> from lightning are included as monthly averages on a T21  $(5.65 \times 5.65^{\circ})$  resolution (Köhler et al. 1995). Both aircraft and lightning emissions are provided as 3-D fi elds for the whole model domain.

#### Volcanoes

Emissions of volcanoes are included for Italy, based upon the offi cially submitted data. Emissions are introduced as point sources, at a height determined by the height of each volcano.

Table 4.3: Default speciation of VOC emissions: Percentage (by mass) of each emissions (SNAP) sector allocated to model species.

SNAP	C2H6	NC4H10	C2H4	C3H6	OXYL	НСНО	CH3CHO	MEK	C2H5OH	CH3OH	UNREAC
1	12.97	30.57	16.10	8.55	2.77	1.76	1.93	0.773	22.29	0.387	1.907
2	12.97	30.57	16.10	8.55	2.77	1.76	1.93	0.773	22.29	0.387	1.907
3	12.97	30.57	16.10	8.55	2.77	1.76	1.93	0.773	22.29	0.387	1.907
4	0.146	0.971	1.88	0.00	0.828	0.00	0.00	0.355	93.47	0.079	2.273
5	0.0	82.01	2.41	10.96	2.39	0.00	0.00	0.0	2.239	0.0	0.0
6	5.36	35.99	0.00	0.00	27.30	0.00	0.00	3.666	19.97	0.0	7.717
7	4.86	30.87	8.63	7.00	36.76	1.64	1.06	0.0	8.75	0.0	0.447
8	5.70	18.00	12.00	4.60	10.60	5.90	4.00	0.0	39.20	0.0	0.0
9	48.41	48.41	0.00	0.00	0.00	3.18	0.00	0.0	0.0	0.0	0.0
10	12.97	30.57	16.10	8.55	2.77	1.76	1.93	0.773	22.29	0.387	1.907

Notes: For definition of model species (e.g. MEK, OXYL) see Chapter 7, Table 7.1.

Except for non-reacting species (UNREAC) which are excluded from the calculations.

## CHAPTER 5

## Landuse

Landuse data are required in the model, primarily for dry deposition modelling and for estimation of biogenic emissions. Previous versions of the EMEP Eulerian model actually had different data-sets to fulfill these two requirements. In the latest EMEP model revisions (rv1.7, rv1.8) the same landuse dataset is used for both purposes as described below.

As noted in chapter 2, the standard EMEP grid has a resolution of approx.  $50 \times 50$  km<sup>2</sup>. For each of these squares, the landuse databases give the fractional coverage of different vegetation types. This allows sub-grid modelling using a so-called mosiac approach - allowing for example ecosystem specific deposition estimates.

## 5.1 Landuse for Deposition Modelling

16 basic landuse classes have been identified for use in the new deposition module (chapter 8). These land-use classes are summarised in Table 5.1. Additional land-use classes are easily defined and indeed the specific categories "Wheat", "Potato" and "Beech" are assigned for critical level work, although with some special treatment (Appendix B).

For those vegetative landuse categories for which stomatal modelling is undertaken, the start and end of the growing season (SGS, EGS) must be specified. The development of leaf area index (LAI) within this growing season is modelled with a simple function as illustrated in Figure 5.1. The parameter values used for these LAI estimates are given in Table 5.1.

In principal, the EMEP model can accept landuse data from any dataset covering the whole of the domain and providing reasonable resolution of vegetation categories. Gridded data-sets providing these land-use categories across the EMEP domain have

Code	Landcover	h	$\alpha$	Growing	L	AI parame	ters	
		(m)	(%)	season	$LAI_{min}$	$LAI_{max}$	$L_S$	$L_E$
				(SGS-EGS)				
CF	Temperate/boreal	$20^{\dagger}$	12	All year	3.4	4.5	192	96
	coniferous forests							
DF	Temperate/boreal de-	$20^{\dagger}$	16	90-270	3.5	5.0	56	92
	ciduous forests							
NF	Mediterranean needle-	15	12	All year	3.5	3.5	192	96
	leaf forests							
BF	Mediterranean	15	16	All year	3.5	3.5	192	96
	broadleaf forests							
TC	Temperate Crops	1	20	105-197*	0.0	3.5	70	22
MC	Mediterranean Crops	2	20	105-197*	0.0	3.0	70	44
RC	Root Crops	1	20	130-250	0.0	4.2	35	65
SNL	Seminatural/Moorland	0.5	14	All year	2.0	3.0	192	96
GR	Grassland	0.5	20	All year	2.0	3.5	140	135
MS	Mediterranean scrub	3	20	All year	2.5	2.5	1	1
WE	Wetlands	0.5	14	All year	na	na	na	na
TU	Tundra	0.5	15	All year	na	na	na	na
DE	Desert	0	25	All year	na	na	na	na
W	Water	0	8	All year	na	na	na	na
Ι	Ice	0	70	All year	na	na	na	na
U	Urban	10	18	All year	na	na	na	na

Table 5.1: Land-use classes used in EMEP model, with default heights (h), albedo ( $\alpha$ ), growing-season and LAI-parameters

Notes: For explanation of LAI parameters, see section 5.1 and fi gure 5.1.

<sup>†</sup> For boreal forests north of  $60^{\circ}$ N, height is reduced by 5% per degree extra latitude, down to a minimum of 6 m for 74°N and above.

\* For these crops growing seasons vary with location. Currently we use a simple latitude-based function, although this will likely be replaced in future. Default values here apply to  $50^{\circ}$ N. SGS and EGS occur earlier at the rate of 3 days per degree latitude on moving south, or increase on moving north.

so far been based upon data from the Stockhom Environment Institute at York (SEI-Y) and from the Coordiniating Centre for Effects (CCE). The CCE dataset is based upon CORINE and PELCOM and is described in de Smet and Hettelingh (2001), along with some comparison to an earlier version of the SEI database. These datasets and the new SEI database (www.york.ac.uk/inst/sei/APS/projects.html) are also described in UNECE (2003). All datasets have advantages and disadvantages for EMEP purposes, so improvements are foreseen. As a first step, work is underway to merge the SEI and CCE data-sets.

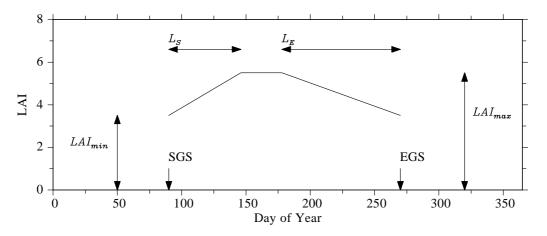


Figure 5.1: Schematic of LAI development and associated parameters. SGS and EGS are the start and end of the growing season, in day-numbers.  $L_S$  and  $L_E$  represent the length of the LAI-increase and decline periods, also in day-numbers. Maximum and minimum (within the growing season) LAI values are given by  $LAI_{max}$ ,  $LAI_{min}$ .

## 5.2 Landuse for Biogenic Emissions

The above databases can provide gridded maps of say temperate coniferous forests or Mediterannean broadleaf forest, but contain little information on the actual species contained within these categories. The calculation of biogenic emissions requires such species information.

The species-specifi c landuse data required for biogenic NMVOC estimates are derived from the general landuse data base described above, making use of the categories coniferous and deciduous forest, and of seminatural vegetation and Mediterranean scrubs. These landuse categories were then disaggregated to specifi c species (Norway spruce, European oak, etc.), assuming the same fractions as found in the national totals summarised in Simpson et al. (1999). 

## CHAPTER 6

## Initial and Boundary Conditions

Initial concentrations of major long-lived species are required in order to initialise model runs. Boundary conditions along the sides of the model domain and at the top of the domain are then required as the model is running. Additionally, we often need to specify concentrations of some species which are not explicitly included in the chemistry of interest, but that enter into reactions with some of the reacting chemical compounds ('background' species). In UNI-ACID, we use background concentrations for  $O_3$ , OH,  $CH_3COO_2$  and  $H_2O_2$ . We refer here to all of these types of data as boundary conditions, or BCs.

Four methods of specifying boundary conditions are currently available:

- i) **3-D Uni** Provision of 3-D fields for whole domain from previous runs of the same or another version of the Unified model. (Self-assimilation)
- ii) 3-D Obs. Provision of 3-D fi elds for whole domain from observational data sets, typically ozone-sondes for O<sub>3</sub>.
- **iii) 3-D CTM** Provision of 3-D fi elds for whole domain from other models, typically global chemical transport models (CTMs).
- **iv) Prescribed** Simple functions are used to prescribe concentrations in terms of latitude and time-of-year, or time of day.

Method (i) is typically used when running one of the simpler Unified model versions. Thus, ozone and  $H_2O_2$  have been calculated with the full UNI-OZONE chemistry, and fields stored for use in the UNI-AERO or UNI-ACID model.

Methods (ii) and (iii) allow great flexibility. A pre-processing program interpolates the data fi eld of interest (e.g. derived from observations or global models) to the EMEP

	(i)	(ii)	(iii)	(iv)
Version	3D-Uni	3-D Obs.	3-D CTM	Prescribed
ACID	$, $	-	-	NO, NO <sub>2</sub> , SO <sub>2</sub> , SO <sub>4</sub> , HNO <sub>3</sub> , PAN, $\langle$ OH $\rangle$ , $\langle$ CH <sub>3</sub> COO $\rangle$
OZONE	-	$O_3$	-	As ACIDPM, $+$ CO, HCHO, CH <sub>3</sub> CHO, C <sub>2</sub> H <sub>6</sub> , C <sub>4</sub> H <sub>10</sub> , CH <sub>4</sub> , H <sub>2</sub>

Table 6.1: Default methods used to specify boundary conditions in Unified model versions. Angle brackets signifies 'background' species - see text.

 $50 \times 50$  km<sup>2</sup> horizontal resolution and to the 20 vertical levels in the EMEP model. Currently, we use monthly averaged data fi elds with this method. However, the frequency of the update of the boundary conditions can be chosen freely, as long as the boundary condition fi eld is provided for the same time period.

Method (iv) is used for those species where rather simple descriptions of boundary condition are sufficient. Despite the simplicity of this method, it has the advantage that the BCs can be based upon measurements and are easily understood.

Table 6.1 shows the default methods used for the different model versions. By default, UNI-OZONE uses method (ii) only for ozone, where a good description of BCs is essential (see section 6.1). Method (iii) is not used in any default setup, reflecting a decision to use observation-based methods as far as possible for routine EMEP modelling. However, this method allows interesting scenario studies (for example for future trend studies).

### 6.1 Ozone

Ozone is the gas where specification of accurate boundary conditions is most essential to a good model performance. This is due to the fact that ambient ozone levels in Europe are typically not much greater than the Northern hemispheric background ozone. Further, there is great interest in the modelling of quantities such as AOT40 (see Appendix B, section B.3), which expresses the amount by which  $O_3$  levels exceed 40 ppb. As background tropospheric levels in many parts of Europe are also around 40 ppb, modelled values of AOT40 are extremely sensitive to uncertainties in the assumed background ozone.

Boundary conditions of ozone for UNI-OZONE make use of a two-step procedure to generate 3-D fi elds;

1. Specify 3-D fi elds from observations (for method ii) or CTMs (for method iii).

2. 'Adjust' these fields according to ensure that they are consistent with observed values at the background site of Mace Head

Ozone boundary conditions for UNI-ACID are simply taken from pre-calculations done with the more complex UNI-OZONE model.

#### 6.1.1 Global datasets for Ozone:

Several datasets are available which can be used to specify background ozone levels. These include 2-D or 3-D climatological datasets (Logan 1998, Fortuin and Kelder 1998) derived from ozonesonde data, and 3-D datasets from global or hemispheric models (e.g UiO, TM3). The default set is derived from the climatological  $O_3$  data published by Logan (1998). Logan use sonde data in combination with surface and satellite data to derive gridded  $O_3$  data of 4° latitude by 5° longitude for 13 pressure levels.

The climatological data sets have the advantage that they are based upon actual measurements, although it should be admitted that the limited number of ozonesondes leads to crude spatial averaging. Both the Logan and Fortuin datasets underestimate ozone concentrations seen at sites on Europe's western coastline. The model-derived datasets have greater spatial resolution, can be obtained for a number of years, including far-future scenarios. However, these large-scale models still have problems reproducing observations within the accuracy needed for input to EMEP-scale calculations.

#### 6.1.2 The 'Mace-Head' adjustment

In order to overcome the limitations of the above data-sets, and also to take into account year-to-year variations in the background ozone, we have devised a methodology which is heavily based upon a study of observations made at the site Mace Head on the west coast of Ireland. This site is ideally suited as a background site for mid-latitude air masses, and has been in operation for many years. Derwent et al. (1998) analysed observed ozone concentrations at this site with respect to the origin of their air masses. Three different techniques (including sector-analysis) were used to attribute concentrations to either the Northern Hemispheric Background, or to air masses influenced by European sources. All techniques produced remarkably similar results, suggesting that all 3 techniques were valuable for identifying clean air masses. The study also found that concentrations of  $O_3$  (and CO) were basically uniform in a wide sector for air masses arriving from Iceland to Barbados - in other words, it confi rmed the view of a general well-mixed background air mass.

For the EMEP model we have made use of an extended version of this analysis. Ozone concentrations from Mace Head have been sorted using sector-analysis, obtained using trajectories obtained from http://www.emep.int<sup>1</sup>. Monthly mean values of the ozone associated with easterly sectors (sectors 6-8) have been calculated. Where fewer than 15 days were available to make an average for a particular year, averages from a full 10-year analysis were substituted for the missing days.

In order to generate an adjustment factor, the monthly values of observed O<sub>3</sub> derived using this procedure, denoted  $O_3^{MH}$ , are compared with the average surface concentrations from the global datasets in the south-west quadrant of the EMEP domain, denoted  $O_3^{GD}$ . (Thus, if the coordinates of Mace Head are denoted  $x_M$ ,  $y_M$ ,  $O_3^{GD}$  is the average concentration from model domain  $x = 1..x_M$ ,  $y = 1..y_M$ ). If the difference between the two datasets obtained in this way is  $\Delta (=O_3^{MH}-O_3^{GD}, \text{ in ppb})$ , we simply add  $\Delta$  to the ozone boundary conditions over the whole domain. Since the concentrations of ozone are generally increasing with height in the model domain (from say 40 ppb to several hundred ppb), then the effect of this constant  $\Delta$  term is greatest for the surface layer and quite small at say 5-10 km height.

Although simple, this procedure ensures that the BCs used for ozone are realistic in the mid-latitude region near ground level. Although based entirely upon one station, this correction has been found to result in good BCs for almost all sites on the west coast of Europe, ranging from Norway to Spain (Simpson et al. 2003a).

#### 6.2 Prescribed values

In method (iv), simple functions have been chosen, designed to enable concentration values that correspond to observations. The concentrations are adjusted in the vertical and for latitude and time of the year (monthly fi elds) to match the observed distributions.

The annual cycle of each species is represented with a cosine-curve, using the annual mean near-surface concentration,  $C_0$ , the amplitude of the cycle  $\Delta C$ , and the day of the year at which the maximum value occurs,  $d_{max}$ . Table 6.2 lists these parameters.

We first calculate the seasonal changes in ground-level BC concentration,  $C_0$ , through:

$$C_0 = C_{mean} + \Delta C \cdot \cos\left(2\pi \frac{(d_{mm} - d_{max})}{n_y}\right)$$
(6.1)

where  $n_y$  is the number of days per year,  $d_{mm}$  is the day number of mid-month (assumed to be the 15th), and  $d_{max}$  is day number at which  $C_0$  maximises, as given in Table 6.2. Changes in the vertical are specified with a scale-height,  $H_z$ , also given in Table 6.2:

$$C_i(h) = C_0 \exp(-h/H_z)$$
 (6.2)

<sup>&</sup>lt;sup>1</sup>Prior to 1996, sectors from Valentia had to be used. However, results calculated after 1996 show almost identical sector-results, regardless of the choice of Mace Head or Valentia

$\int \mathbf{C} \mathbf{O} \cdot \mathbf{Z} \cdot \mathbf{I} \mathbf{a} \mathbf{I} \mathbf{a} \mathbf{I}$	licicits us	cu to set	preser		/unual y	
	$C_{mean}$	$d_{max}$	$\Delta C$	$H_z$	$C_{min}^v$	$C_{min}^h$
	ppb	days	ppb	km	ppb	ppb
SO2	0.15	15.0	0.05	$\infty$	0.15	0.03
SO4	0.15	180.0	0.00	1.6	0.05	0.03
NO	0.1	15.0	0.03	4.0	0.03	0.02
NO2	0.1	15.0	0.03	4.0	0.05	0.04
PAN	0.20	120.0	0.15	$\infty$	0.20	0.1
HNO3	0.1	15.0	0.03	$\infty$	0.05	0.05
CO	125.0	75.0	35.0	25.0	70.0	30.0
C2H6	2.0	75.0	1.0	10.0	0.05	0.05
C4H10	2.0	45.0	1.0	6.0	0.05	0.05
HCHO	0.7	180.0	0.3	6.0	0.05	0.05
CH3CHO	2.0	180.0	0.5	6.0	0.05	0.05

Table 6.2: Parameters used to set prescribed boundary conditions

Notes: See text for definition of terms. Concentrations and other parameters estimated largely from Warneck (1988), Derwent et al. (1998), Ehhalt et al. (1991), Emmons et al. (2000), Isaksen and Hov (1987), Penkett et al. (1993), Solberg et al. (1996, 2000) and University of Oslo CTM2 model (Sundet 1997).

Table 6.3: Latitude factors applied to prescribed boundary and initial conditions.

Component	Latitude (°N)								
	30	35	40	45	50	55	60	65	70-90
$SO2^a$	0.05	0.15	0.3	0.8	1.0	0.6	0.2	0.12	0.05
$HNO3^{b}$	1.00	1.00	1.00	0.85	0.7	0.55	0.4	0.3	0.2
PAN	0.15	0.33	0.5	0.8	1.0	0.75	0.5	0.3	0.1
СО	0.6	0.7	0.8	0.9	1.0	1.0	0.95	0.85	0.8

Notes: (a) Applied also for  $SO_4$ , NO,  $NO_2$ ; (b) Applied also for HCHO, CH<sub>3</sub>CHO; See Simpson (1992) for sources of data

where  $C_i(h)$  is the concentration at height h (in km). For simplicity we set h to be the height of the centre of each model layer assuming a standard atmosphere. Values of  $C_i$  are constrained to be greater or equal to the minimun values,  $C_{min}^v$ , given in Table 6.2. For some species a latitude factor, given in Table 6.3, is also applied. Values of  $C_i$  adjusted in this manner are constrained to be greater or equal to the minimun values,  $C_{min}^h$ , given in Table 6.2.

Finally for UNI-OZONE, we simply specify constant mixing ratios over the whole model domain, valid for 1990 (see section 6.3 for other years), for two species. These

Table 6.4: Prescribed concentrations of OH and  $CH_3COO_2$ in UNI-ACID, as function of time-of-day and solar zenith angle ( $\theta$ ).

Compound	Night	Day
OH	$10^{4}$	$10^4 + 4 \cdot 10^6 e^{(-0.25/\cos\theta)}$

$CH_3COO_2$ 1	$0^{6}$ 5 ·	$10^{5} + 2.5 \cdot$	$10^{6}$	$e^{(-0.25/\cos\theta)}$
---------------	-------------	----------------------	----------	--------------------------

Notes: Units: molecules  $cm^{-3}$ . Values below clouds are reduced with a factor of 0.5 times the fractional cloud cover.

are CH<sub>4</sub> 1780 ppb; H<sub>2</sub> 600 ppb

In UNI-ACID concentrations of OH and  $CH_3COO_2$  are prescribed by simple functions of the solar zenith angle,  $\theta$ , as described in table 6.4. Values below clouds are reduced with a factor of 0.5 times the fractional cloud cover.

# 6.3 Trends in boundary conditions

The BC values discussed above are assumed appropriate for the year 1990. For other years these values are adjusted using trend factors. These trend factors are summarised in Table 6.5.

	Table 0.5. Assumed trends for boundary concentrations							
Species	Trend, pre-1990	Trend, post-1990	Notes					
	%/year	%/year						
03	1	(a)	(b)					
CO	0.85	0	(c)					
VOC	0.85	0	(d)					
CH4	0.91	0.2	(e)					

Table 6.5: Assumed trends for boundary concentrations

Notes: (a) Mace-head correction applied on yearly basis to climatological values from 1990-current year, see section 6.1.2. (b) pre-1990 from Janach (1989), Low et al. (1990), Volz and Kley (1988), Bojkov (1986), Logan (1994) (c) Trend for CO of 0.85%/yr from Zander et al. (1989b); (d) Trend for ethane of 0.85%/yr from Ehhalt et al. (1991). Same trends assumed for n-butane and ethene. (e) Pre-1990 values from Zander et al. (1989a) for 1975-1990. Post-1990 values valid for 1990-2000, derived from Mace-Head observations.

# CHAPTER 7

## Chemistry

This chapter details the chemical schemes of both the UNI-OZONE and UNI-ACID versions of the model. In fact, the UNI-ACID chemistry is almost a pure subset of UNI-OZONE, since the latter now includes ammonium chemistry, gas and aqueous oxidation of  $SO_2$  to sulphate, providing a comprehensive chemistry for both photo-oxidant and acidifi cation studies.

### 7.1 Species used

Table 7.1 list the chemical compounds used in the model, and Table 7.2 gives more description where required. Most species are sufficiently long lived that they are included in both the advection and chemical equations. The species labelled "short-lived" have sufficiently short lifetimes that their concentrations are essentially controlled by local chemistry, so they are not included among the advected species.

Note that this list excludes a number of intermediate species which are assumed to react immediately upon formation. For example, H atoms react immediately with  $O_2$  to form HO<sub>2</sub>, and so are not included explicitly.

### 7.2 Photo-dissociation rates

Table 7.3 lists the photolysis reactions used in the model. The reactions are taken from Simpson et al. (1993), with minor updates. The calculation of photodissociation rates (J-values) is identical to the methodology used for the earlier EMEP oxidant model (Jonson et al. 2001). J-values are calculated for clear sky conditions and for two predefined clouds using the phodis routine (Kylling et al. 1998). Ozone concentrations

Advected spe	ecies			
O3	NO	NO2	PAN	MPAN
NO3	N2O5	ISONO3	HNO3	CH2CCH3
CH3COO2	MACR	ISNI	ISNIR	GLYOX
MGLYOX	MAL	MEK	MVK	HCHO
CH3CHO	C2H6	NC4H10	C2H4	C3H6
OXYL	ISOP	CH3O2H	C2H5OOH	secC4H9O2H
ETRO2H	PRRO2H	OXYO2H	MEKO2H	MALO2H
MVKO2H	MARO2H	ISRO2H	H2O2	CH3COO2H
MAR2O2H	ISONO3H	ISNIRH	CH3OH	C2H5OH
H2	CO	CH4	SO2	SO4
pNO3	NH3	AMSU	AMNI	PM2.5
PMco				
Short-lived:				
OD	OP	OH	HO2	CH3O2
C2H5O2	SECC4H9O2	ISRO2	ETRO2	PRRO2
OXYO2	MEKO2	MALO2	MVKO2	MACRO2

Table 7.1: Calculated species in the EMEP MSC-W checmical scheme. Species given are for UNI-OZONE. Species in bold font are also included in UNI-ACID

from a 2-D global model, extending from the surface to 50 km (Stordal et al. 1985) are scaled by observed total ozone columns from Dutsch (1974). Cloud base for both the predefi ned clouds is at 1 km above the ground. The fi rst predefi ned cloud is 3 km deep, with a water content of 0.7 g cm<sup>-3</sup> and a mean droplet radius of 10  $\mu$ m. The second predefi ned cloud is 1 km deep, with water content of only 0.3 g cm<sup>-3</sup> and a mean droplet radius of 10  $\mu$ m. The second predefi ned cloud is 1 km deep, with water content of only 0.3 g cm<sup>-3</sup> and a mean droplet radius of 10  $\mu$ m. The J-values are calculated using the new recommendations for absorption cross sections and quantum yields from DeMore et al. (1997). For UNI-ACID, only the photolysis of NO<sub>2</sub> is included.

### 7.3 Chemical Mechanism

Table 7.5 gives a complete listing of the chemical mechanism used in the photo-oxidant model. Rate-coeffi cients for some 3-body reactions are given in Table 7.4. This scheme is based up the ozone chemistry from the Lagrangian photo-oxidant model (Simpson et al. 1993, Simpson 1995, Andersson-Sköld and Simpson 1999, Kuhn et al. 1998), but with additional reactions introduced to extend the model's coverage to acidifi cation and eutrophication issues. These additions include ammonium chemistry, gas and aqueous oxidation of SO<sub>2</sub> to sulphate, and night-time production of nitrate. Additionally, a coarse particle nitrate species has been introduced. Rate-constants have been updated and in some cases replaced by Troe expressions (Table 7.4) to allow their application to the greater range of temperatures and pressures inherent in the 3-D

SO4, pNO3	Particulate sulphate (fi ne-mode), nitrate (coarse
	mode)
AMSU, AMNI	$(\mathrm{NH}_4)_{1.5}\mathrm{SO}_4$ , $\mathrm{NH}_4\mathrm{NO}_3$
PM2.5, PMco	fine particles ( $d < 2.5 \mu m$ ), course-mode particles
	$(2.5\mu m < d < 10\mu m)$
OXYL, ISOP	o-xylene, C5H10 (isoprene)
PAN, MPAN	$CH_3COO_2NO_2$ , $CH_2CH(CH_3)COO_2NO_2$ (from
	isoprene chemistry)
GLYOX, MGLYOX	НСОНСО, СНЗСОСНО
MAL	CH3COCH=CHCHO
MEK	CH <sub>3</sub> COC <sub>2</sub> H <sub>5</sub> (methyl-ethyl-ketone
MVK	CH3C(=O)CH=CH2 (methyl-vinyl-ketone)
MACR	CH <sub>2</sub> CCH <sub>3</sub> CHO (methacrolein)
ISNI	isoprene-nitrate
peroxy radicals	
ETRO2, PRRO2,	
$secC4H9O2, \ ISRO2$	
OXYO2, MEKO2,	From ethene, propene, n-butane, isoprene (6-
MALO2, MVKO2,	isomers), o-xylene, MEK, MAL, MVK, ISNI,
MACRO2,  CH2CCH3	MACR, and CH2CCH3. ISONO3 is an isoprene-
ISNIR, ISONO3 )	NO3 adduct.
Hydro-peroxy radicals	
ETRO2H, $PRRO2H$ ,	
secC4H9O2H, ISRO2H,	
OXYO2H, MEKO2H,	
MALO2H, MVKO2H,	From ETRO2, PRRO2, secC4H9O2, ISRO2,
MARO2H, MAR2O2H	OXYO2, MEKO2, MALO2, MVKO2, MACRO2, CH2CCH2 ISNIB and ISONO2
ISNIRH, ISONO3H	CH2CCH3, ISNIR and ISONO3

Table 7.2: Abbreviations used for some chemical species

model domain.

Full details of the sources and methodology behind the basic photo-oxidant reaction schemes are given in Simpson et al. (1993) and Simpson (1995). Reaction coeffi cients are largely from DeMore et al. (1997), Atkinson et al. (1996, 1992), Atkinson (1990).

Sections 7.4-7.6 below detail the reactions forming sulphates, nitrates and ammonium compounds, which builds upon on those of (Hov et al. 1988, Iversen 1990, Berge and Jakobsen 1998).

O3	$\rightarrow$	O3P + O2
O3	$\rightarrow$	O1D + O2
NO2	$\rightarrow$	NO + O3P
H2O2	$\rightarrow$	OH + OH
HNO3	$\rightarrow$	NO2 + OH
НСНО	$\rightarrow$	HO2 + HO2 + CO
HCHO	$\rightarrow$	CO + H2
CH3CHO	$\rightarrow$	$\{CH3\} + \{HCO\}$
MEK	$\rightarrow$	CH3COO2 + C2H5O2
GLYOX	$\rightarrow$	1.9 CO + 0.5 HO2 + 0.1 HCHO
MGLYOX	$\rightarrow$	CH3COO2 + CO + HO2
NO3	$\rightarrow$	NO2 + O3P
N2O5	$\rightarrow$	NO2 + NO3
CH3OOH	$\rightarrow$	$\{CH3O\} + OH$
C2H5OOH	$\rightarrow$	$\{C2H5O\} + OH$
CH3COO2H	$\rightarrow$	CH3O2 + CO2 + OH
MEKO2H	$\rightarrow$	CH3CHO+CH3COO2+OH
secC4H9O2H	$\rightarrow$	$OH + \{secC4H9O\}$
ETRO2H	$\rightarrow$	HO2 + OH + 1.56 HCHO + 0.22 CH3CHO
PRRO2H	$\rightarrow$	CH3CHO + HCHO + HO2
OXYO2H	$\rightarrow$	OH + MGLYOX + MAL + HO2
MALO2H	$\rightarrow$	OH + HO2 + MGLYOX + GLYOX

Species in brackets {} are extremely short-lived. See Table 7.5 for products formed instantaneously from these. Rates for all organic hydroperoxides set equal to those of CH3O2H.

## 7.4 Sulphate production

The parameterization outlined below is previously described in Jonson et al. (2000). In the model  $SO_2$  is oxidized to sulphate both in the gas phase and in the aqueous phase. We always assume equilibrium between gas and aqueous phase. It should be noted that in case the clouds occupy only a fraction of the grid volume, the total concentration (gas + aqueous) of soluble components are assumed to be uniformly distributed in the grid volume. If the cloud evaporates, the total concentration is always equal to the gas phase concentration.

For both gas and aqueous phase reactions we scale the reaction rates, rather than the concentrations, by the solubility and cloud volume fractions. In the present calculations we have assumed a constant pH value of 4.5 and cloud liquid water content of  $0.6 \text{ g m}^{-3}$  (inside the clouds).

In the parameterization of aqueous phase chemistry we assume that Henry's law is fulfi lled:

$$[C_{(aq)}] = H_c P_c$$

where  $[C_{(aq)}]$  is the concentration of any soluble gas  $C \pmod{l^{-1}}$  in the aqueous phase,  $H_c$  its Henry's law coefficient and  $P_c$  the partial pressure of C in the gas phase. In the aqueous phase many soluble gases undergo rapid reversible reactions such as acid-base equilibrium reactions. For these gases it is convenient to define an efficient Henry's law coefficient where the total amount of dissolved gases is taken into account. For example, the total amount of dissolved sulphur in solution (S(IV)) is equal to

$$[S(IV)_{(aq)}] = [SO_{2(aq)}] + [HSO_{3(aq)}^{-}] + [SO_{3(aq)}^{2-}]$$

The total dissolved S(IV) can be related to the partial pressure of SO<sub>2</sub> over the solution  $(P_{SO_2})$  by

$$[S(IV)_{(aq)}] = H_{SO_2} P_{SO_2} \left( 1 + \frac{K_1}{[H^+]} + \frac{K_1 K_2}{[H^+]^2} \right)$$
(7.1)

where  $H_{SO_2}$  is the Henry's law coefficient for SO<sub>2</sub> and  $K_1$  and  $K_2$  are the first and second ionisation constants for sulfurous acid. For pH values encountered in the atmosphere, the concentration of SO<sub>3</sub><sup>2-</sup> is negligible and may be omitted, thus we can neglect the last term within the brackets in equation [7.1].

We define the effective Henry's law coefficient for SQ as:

$$H^* = [S(IV)_{(aq)}]/P_{SO_2}$$

and make use of the ideal gas law  $(P_c = [C_{(g)}] \cdot RT$ , where  $[C_{(g)}]$  is gas phase concentration of C, R is the universal gas constant and T is temperature) in order to find an expression for the total concentration  $[C_T]$  (gas + aqueous-phase) in a cloud volume:

$$\begin{bmatrix} C_T \end{bmatrix} = \begin{bmatrix} C_{(g)} \end{bmatrix} / \alpha + \begin{bmatrix} C_{(aq)} \end{bmatrix} \\ = \begin{bmatrix} C_{(aq)} \end{bmatrix} \left( 1 + \frac{1}{H^* RT\alpha} \right)$$
(7.2)

where  $\alpha$  is the volume fraction of cloud water. Both  $[C_T]$  and  $[C_{(g)}]$  are in units M (mol/l). The fraction of the total (gas + aqueous) mass remaining in the interstitial cloud air (f<sub>g</sub>) and the fraction absorbed by the droplets (f<sub>aq</sub>) can be calculated as:

$$f_{aq} = 1 - f_g = \frac{[C_{(aq)}]}{[C_T]} = \frac{1}{1 + (H^* RT\alpha)^{-1}}$$
(7.3)

#### 7.4.1 Gas phase

In the gas phase  $SO_2$  is oxidized by a chain of reactions initiated by the reaction with OH:

$$SO_2 + OH \longrightarrow \dots H_2SO_4$$

with reaction rate  $k_{sg}$  as given in Table 7.5. Since some of the SO<sub>2</sub> in a grid square is dissolved in clouds, we define a pseudo reaction rate to allow for this. Using  $f_{aq}$ as defined above, then for a fractional cloud volume W, the fraction of SO<sub>2</sub> in the gas-phase is given by:

$$F_q = [1 - f_{aq}W], (7.4)$$

The pseudo-rate coefficient for model reaction OH + SO2  $\rightarrow$  SO4 then becomes  $F_q \times k_{sq}$  as given in Table 7.5.

In the model we use the local cloud fraction, defined in the meteorological input fields, as an approximate value for the fractional cloud volume. With the parameterisation above,  $SO_2$  oxidized both in the cloud free parts of the grid box and in the interstitial cloud air.

#### 7.4.2 Aqueous phase

Although a number of oxidants may contribute in the oxidation, only  $O_3$ ,  $H_2O_2$  and  $O_2$  catalyzed by metal ions are considered here. The rate of production for sulphate in solution is expressed as:

$$d[SO_4^{2-}]/dt = k_{cl1}[H_2O_2][SO_2] + (k_{cl2}[H^+][O_3] + k_{cl3})([SO_2] + [HSO_3^-])$$

where the reaction rate for the oxidation by  $O_3$  is  $k_{cl2} = 1.810^4 [H^+]^{-0.4} \text{mol}^{-1}\text{l}$ (Möller 1980) and the reaction rate for the oxidation by  $H_2O_2$  is  $k_{cl1} = 8.310^5 \text{ mol}^{-1}\text{l}$ (Martin and Damschen 1981). For the oxidation by  $O_2$  catalyzed by metal ions we assume a reaction rate of  $3.3 \cdot 10^{-10}$  molecules cm<sup>-1</sup>, corresponding to a lifetime of approximately 50 hours.

As for the gas phase production of sulphate described in the previous section, we define pseudo reaction rates, taking into account the solubility of  $SO_2$ ,  $H_2O_2$  and  $O_3$  and the fractional cloud volume. The pseudo reaction rates then becomes:

$$k_{cl1}' = k_{cl1} \Gamma \frac{H_{SO_2}}{H_{SO_2}^*} f_{SO_2} f_H W$$
(7.5)

$$k_{cl2}' = k_{cl2} \Gamma f_{SO_2} f_{O_3} W \tag{7.6}$$

$$k_{cl3}' = k_{cl3} f_{SO_2} W (7.7)$$

for the for oxidation by  $H_2O_2$ ,  $O_3$  and  $O_2$ , respectively.  $f_H$  and  $f_{O_3}$  are the fractional solubilities of  $H_2O_2$  and  $O_3$  and  $\Gamma$  is a conversion factor converting  $k'_{cl1}$  and  $k'_{cl2}$  to molecules<sup>-1</sup> cm<sup>3</sup>.  $H_{SO_2}$  is the Henry's law constant for SO<sub>2</sub> and  $H^*_{SO_2}$  is the effective Henry's law constant for S(IV).

### 7.5 Ammonium sulphate and ammonium nitrate

In the model ammonium sulphate is formed instantaneously from  $NH_3$  and SO4, only limited by the availability of the least abundant of the two species. In the atmosphere ammonium sulphate is present in two forms,  $(NH_4)_2SO_4$  or  $NH_4SO_4$ . We assume equal concentrations of the two forms, giving the EMEP pseudo species  $(NH_4)_{1.5}SO_4$ .

Any excess  $NH_3$  may then react with  $HNO_3$ , forming ammonium nitrate ( $NH_4NO_3$ ) through an equilibrium reaction. As a first step in this calculation the equilibrium concentration of  $NH_3$  is calculated:

$$NH_3eq = \frac{NH_3 + HNO_3}{2} + \sqrt{\frac{(NH_3 - HNO_3)^2}{4} + k_{eq}},$$
(7.8)

Where  $k_{eq}$  is the equilibrium constant defined below. The equilibrium concentration of NH<sub>4</sub>HNO<sub>3</sub> (ammonium nitrate) is derived from NH<sub>3</sub>:

$$NH_4NO_3eq = NH_4NO_3 + (NH_3 - NH_3eq)$$
(7.9)

Provided the difference between the equilibrium concentration and the former concentration is smaller than the former concentration, the equilibrium concentration becomes the new concentration of ammonium nitrate. Nitric acid ( $HNO_3$ ) is adjusted accordingly, maintaining mass balance.

The equilibrium constant  $k_{eq}$  is calculated as recommended by Mozurkewich (1993). Below the point of deliquescence the equilibrium constant, now denoted  $K_p$  is given by the equation:

$$lnK_p = 118.87 + \frac{24084}{T} - 6.025ln(T)$$
(7.10)

where T is the temperature in Kelvin. Above the point of deliquescence the equilibrium constant, now denoted  $K_{aq}$  is given by:

$$K_{aq} = \left[P_1 - P_2\left(1 - \frac{RH}{100}\right) + P_3\left(1 - \frac{RH}{100}\right)^2\right]\left(1 - \frac{RH}{100}\right)^{1.75}K_p \tag{7.11}$$

where both  $K_p$  and  $K_{aq}$  are in units of (molecules cm<sup>-3</sup>)<sup>2</sup>. RH is the relative humidity in percent and P<sub>1</sub>, P<sub>2</sub> and P<sub>3</sub> are given as:

$$lnP_1 = -135.94 + \frac{8763}{T} + 19.12ln(T)$$

$$lnP_{2} = -122.65 + \frac{9969}{T} + 16.22ln(T)$$
$$lnP_{3} = -182.61 + \frac{13875}{T} + 2446ln(T)$$

and the point of deliquescence is given as:

$$ln(RH_d/100) = \frac{618.3}{T} - 2.551$$

### 7.6 Nitrate production

#### **Coarse nitrate**

Coarse nitrate (pNO3) formation is assumed to take place at a rate  $k_{RH}$  (in s<sup>-1</sup>) which depends on relative humidity (Eliassen et al. 1982):

$$k_{RH} = 1.0 \times 10^{-4}$$
 for RH>90%  
 $k_{RH} = 5.0 \times 10^{-6}$  for RH<90% (7.12)

#### Night time production of HNO3 and nitrate

The night time production of total nitrate (defined as the sum of HNO<sub>3</sub> in the gas phase and NO<sub>3</sub> and ammonium nitrate in particulate form) is initiated by the gas phase reaction:

$$NO_2 + O_3 \longrightarrow NO_3 + O_2$$

 $N_2O_5$  is formed in equilibrium with NO<sub>3</sub>:

$$NO_3 + NO_2 \rightleftharpoons N_2O_5$$

 $N_2O_5$  may further react with water on deliquescent aerosols, producing two  $NO_3^-$  molecules:

$$N_2O_5 + H_2O \longrightarrow 2NO_3^- + H^+$$

 $NO_3^-$  formed in the reaction above is assumed to evaporate. The HNO<sub>3</sub> thus formed will take part in the formation of ammonium nitrate as described in section 7.5 or coarse nitrogen as described above.

In daylight NO<sub>3</sub> is rapidly photolysed (Table 7.3) so that total nitrate is only produced through this path in the absence of sunlight. In winter, with low OH concentrations and many hours of darkness, the above reactions are believed to be the major source of total nitrate in the atmosphere (Dentener and Crutzen 1993). As noted above, the rate limiting step for the overall night time production of total nitrate is the initial reaction between NO<sub>2</sub> and O<sub>3</sub>. However, at low humidities and/or low aerosol burden,

Table 7.4: Rate-constants for 3-body reactions. The reaction rates are calculated as:  $k = \frac{K_0}{1+K_0/K_\infty} F^{(1+(log_{10}K_0/K_\infty)^2)^{-1}}$  The reaction numbers refers to the numbering in table 7.5.

theore /				
	K <sub>0</sub>	$K_{\infty}$	F	
IN4	$O + NO \rightarrow NO_2$	1.0E-31(300/T) <sup>1.6</sup> [M]	$3.0\text{E}-11(300/\text{T})^{-0.3}$	0.85
IN14	$OH+NO_2 \rightarrow HNO_3$	2.6E-30(300/T) <sup>2.9</sup> [M]	6.7E-11(300/T) <sup>0.6</sup>	0.43
IN13	$NO_2 + NO_3 \rightarrow N_2O_5$	2.7E-30(300/T) <sup>3.4</sup> [M]	$2.0\text{E}-12(300/\text{T})^{-0.2}$	0.33
IN15	$N_2O_5 \rightarrow NO_2 + NO_3$	1.0E-3e(300/T) <sup>3.5</sup>	9.7E+14(300/T) <sup>-0.1</sup>	0.33
	$\times e^{(-11000/T)}[M]$	$\times e^{(-11080/T)}$		
EE1	$OH + C_2H_4 \rightarrow CH_2O_2CH_2OH$	7.0E-29(300/T) <sup>3.1</sup> [M]	9.0E-12	0.7
RR2	$OH + C_3H_6 \rightarrow CH_3CHO_2CH_2OH$	8.0E-17(300/T) <sup>3.5</sup> [M]	3.0E-11	0.5
EA7	$CH_3COO_2 + NO_2 \rightarrow PAN$	2.7E-28(300/T) <sup>7.1</sup> [M]	1.2E-11(300/T) <sup>0.1</sup>	0.3
EA8	$PAN + \rightarrow CH_3COO_2 + NO_2$	$4.9\text{E-}3e^{(-12100/T)}[\text{M}]$	$5.4\text{E}+16e^{(-13830/T)}$	0.3

the overall reaction can be limited by the availability of aerosols. The parameterization of this process is a simplification of the parameterization suggested by Dentener and Crutzen (1993). In the calculations we assume that the availability deliquescent aerosols is proportional to the sulphate concentration, represented as a volume fraction V of sulphate aerosols:

$$V = \frac{S \times M_s}{A_0 \rho}$$

where S is the concentration of sulphate (molecules cm<sup>-3</sup>),  $M_s$  is the molecular weight of sulphate,  $A_0$  is Avogadros number and  $\rho$  is the aerosol density (g cm<sup>-3</sup>). An expression for the conversion of N<sub>2</sub>O<sub>5</sub> to NO<sub>3</sub><sup>-</sup> on deliquescent aerosols is expressed as:

$$K_a = V \frac{3\alpha v}{4r} RH/100. \tag{7.13}$$

where  $\alpha$  is the sticking coefficient (10<sup>-2</sup>), v is the mean molecular speed for the N<sub>2</sub>O<sub>5</sub> molecules and r is the average radius of the aerosols (0.3  $\mu$  m).

#### **UNI-ACID** version

In the UNI-ACID version some of the above equations are slightly modified as OH,  $CH_3COO_2$ ,  $H_2O_2$  and  $O_3$  concentrations are not calculated in the model. This is discussed in section 6.2. As NO<sub>3</sub> and N<sub>2</sub>O<sub>5</sub> are not included in the UNI-ACID version we take advantage of the fact that the reaction forming NO<sub>3</sub> (IN7) is assumed to be the rate limiting step and combine this reaction with the subsequent reaction steps:

$$2NO_2 + O_3 + H_2O \longrightarrow 2NO_3^- + 2H^+ + O_2$$

This overall reaction is limited by the aerosol burden in much the same way as the  $NO_3^-$  production in the UNI-OZONE model version.

#### 7.7 Secondary organic aerosol and other chemistries

The implementation of chemical schemes in the unified model is now done using a pre-processor written in Perl. Thus, whilst the UNI-ACID and UNI-OZONE labels are convenient for describing two 'standard' chemistries within the modelling system, the new unified model can be quickly switched to accommodate new reactions and species.

As a relevant example, the unified model can also be run with the secondary organic aerosol (SOA) formation scheme which is described in detail in Andersson-Sköld and Simpson (2001). Three different mechanism for treating the formation of SOA were investigated, with biogenic terpenes as a main source. However, an important conclusion of Andersson-Sköld and Simpson was that such SOA schemes are not yet reliable enough for use in policy-related modelling, largely because the fundamental scientific understanding of SOA is at such an early stage. Thus, SOA modelling is regarded as a research activity within EMEP and this mechanism is not included in the standard model.

### 7.8 Numerical solution

The chemical equations are solved using the TWOSTEP algorithm tested by Verwer and Simpson (1995). At present, with an advection time-step  $dt_{advec}$  of 20 minutes (1200 s) the algorithm starts with five successive timesteps of  $dt_{chem}$ =20 seconds followed by seven larger timesteps of  $dt_{chem}$ =1100/7=157 s. Compared with a fixed timestep, increasing timesteps has been found more efficient, since at the start of the process the system is further away from a steady-state situation. This scheme improves with iteration. In the 4 layers near the ground, where emission and often reaction tendencies are highest, we perform 3 iterations each timestep. Above this, 2 iterations are performed, except for the uppermost 6 layers where 1 iteration is believed sufficient.

Table 7.5: Photo-oxidant Chemistry of the Unified model. Full UNI-OZONE chemistry given, with UNI-ACID reactions listed in **bold face** 

Code	Rate coeffi cient	Reaction	
		Inorganic chemistry	
IN1	6.0E-34*(t/300.0) <sup>-2.3</sup>	$O+O2+M \rightarrow O3$	
IN2	1.8E-11*exp(107.0/t)	$OD+N2 \rightarrow O$	
IN3	3.2E-11*exp(67.0/t)	$OD+O2 \rightarrow O$	
IN4	Troe	$O+NO+M \rightarrow NO2$	
IN5	2.2E-10	$OD+H2O \rightarrow OH+OH$	
F	Immediate	$H+O2 \rightarrow HO2$	
IN6	1.8E-12*exp(-1370./t)	O3+NO  ightarrow NO2	
IN7	1.2E-13*exp(-2450./t)	$O3+NO2 \rightarrow NO3$	
IN8	1.9E-12*exp(-1000./t)	$O3+OH \rightarrow HO2$	
IN9	1.4E-14*exp(-600./t)	$O3+HO2 \rightarrow OH$	
IN10	1.8E-11*exp(110./t)	$NO+NO3 \rightarrow NO2+NO2$	
IN11	3.7E-12*exp(240./t)	$NO+HO2 \rightarrow NO2+OH$	
IN12	7.2E-14*exp(-1414./t)	$NO2+NO3 \rightarrow NO+NO2$	
IN13	Troe	$NO2+NO3 \rightarrow N2O5$	
IN14	Troe	$NO2+OH \rightarrow HNO3$	
IN15	Troe	$N2O5 \rightarrow NO2+NO3$	
IN16	4.8E-11*exp(250./t)	$OH+HO2 \rightarrow H2O$	
IN17	2.9E-12*exp(-160./t)	$OH+H2O2 \rightarrow HO2$	
IN18	7.7E-12*exp(-2100./t)	$OH+H2 \rightarrow H$	
IN19	1.05E-14*exp(785./t)	$OH+HNO3 \rightarrow NO3$	
IN20	FHO2*2.3E-13*exp(600./t)	$HO2+HO2 \rightarrow H2O2$	
IN21	FHO2*M*1.7E-33*exp(1000./t)	$\rm HO2+HO2 \rightarrow H2O2$	
	Calabara.	and Ammonium chemistry	

SA1	$2.0 \times 10^{-12}$ . $F_g$ (sec.7.4.1)	$OH+SO2 \rightarrow HO2+SO4$
SA2	k' <sub>cl1</sub> , sec. 7.4.2	$\mathbf{SO2} + \mathbf{H2O2} \rightarrow \mathbf{SO4}$
SA3	k' <sub>cl2</sub> , sec. 7.4.2	$\mathbf{SO2} + \mathbf{O3} \rightarrow \mathbf{SO4}$
SA4	k' <sub>cl3</sub> , sec. 7.4.2	$\mathbf{SO2}\ (+\ \mathbf{Fe})  ightarrow \mathbf{SO4}$
F	Immediate	$\mathbf{SO4} + \mathbf{NH3} \rightarrow \mathbf{AMSU}$
SA5	Sec.7.5	$\mathbf{NH3} + \mathbf{HNO3} \rightleftharpoons \mathbf{AMNI}$

Methane chemistry

continued on next page

	Rate coeffi cient	Reaction
ME1	3.9E - 12 * exp(-1765./t)	$OH+CH4 \rightarrow CH3$
F	Immediate	$CH3+O2 \rightarrow CH3O2$
ME2	$K_{RO2NO}$	$CH3O2+NO \rightarrow CH3O+NO2$
ME3	5.9E-14*exp(509./t)	CH3O2+CH3O2→CH3O+CH3O
ME4	7.04E-14*exp(365./t)	CH3O2+CH3O2→CH3OH+HCHO
ME5	3.1E-12*exp(-360./t)	OH+CH3OH→HO2+HCHO
ME6	3.8E-13*exp(780./t)	$HO2+CH3O2 \rightarrow CH3O2H$
F	Immediate	$CH3O+O2 \rightarrow HCHO+HO2$
ME7	8.6E-12*exp(20./t)	$OH+HCHO \rightarrow HCO$
F	Immediate	$HCO+O2 \rightarrow CO+HO2$
ME8	$K_{H1}$	$CH3O2H + OH \rightarrow HCHO + OH$
ME9	$K_{H2}$	$CH3O2H + OH \rightarrow CH3O2$
ME10	5.8E-16	$NO3+HCHO \rightarrow HNO3+HCO$
ME11	K <sub>PCO</sub>	$OH+CO \rightarrow H$
		Ethane chemistry
EA1	7.9E-12*exp(-1030./t)	$OH+C2H6 \rightarrow C2H5O2$
EA2	8.7E-12	C2H5O2+NO $\rightarrow$ C2H5O+NO2
EA3	2.7E-13*exp(1000./t)	C2H5O2+HO2 $\rightarrow$ C2H5OOH
EA4	$K_{H3}$	C2H5OOH+OH $\rightarrow$ CH3CHO+OH
EA5	$K_{H2}$	C2H5OOH+OH $\rightarrow$ C2H5O2
F	Immediate	$C2H5O+O2 \rightarrow HO2+CH3CHO$
EA6	5.6E-12*exp(310./t)	$OH+CH3CHO \rightarrow CH3COO2$
EA7	Troe	$\mathbf{CH3COO2}\text{+}\mathbf{NO2} \rightarrow \mathbf{PAN}$
EA8	Troe	$PAN \rightarrow CH3COO2+NO2$
EA9	2.0E-11	$CH3COO2+NO \rightarrow NO2+CH3$
EA10	5.5E-12	$CH3O2+CH3COO2 \rightarrow CH3O+CH3$
EA11	5.5E-12	$CH3O2+CH3COO2 \rightarrow CH3COOH+HCHO$
EA12	2.8E-12*exp(530/t)	$CH3COO2+CH3COO2 \rightarrow CH3+CH3$
EA13	1.3E-13*exp(1040./t)	$CH3COO2+HO2 \rightarrow CH3COO2H$
EA14	1.9E-12*exp(190./t)	$CH3COO2H+OH \rightarrow CH3COO2$
EA15	3.0E-13*exp(1040./t)	$CH3COO2+HO2 \rightarrow O3 + [CH3COOH]$
		Ethanol chemistry
EO1	3.69E-12*exp(-70./t)	$OH+C2H5OH \rightarrow CH3CHO + HO2$
		n-butane chemistry
BU1	1.64E-11*exp(-559./t)	$OH+NC4H10 \rightarrow SECC4H9O2$
BU2	K <sub>RO2NO</sub>	NO+SECC4H9O2→NO2+SECC4H9O

# CHAPTER 7. CHEMISTRY

	Rate coeffi cient	Reaction
F	Immediate	SECC4H9O $\rightarrow$ 0.65 HO2 + 0.65 CH3COC2H5 + 0.
		CH3CHO +0.35 C2H5O2
BU3	1.15E-12	$OH+CH3COC2H5 \rightarrow CH3COCHO2CH3$
BU4	$K_{RO2NO}$	CH3COCHO2CH3+NO→ NO2+CH3COO2+CH3CH0
BU5	$K_{HO2RO2}$	CH3COCHO2CH3+HO2→ CH3COCHO2HCH3
BU6	4.8E-12	$CH3COCHO2HCH3+OH \rightarrow CH3COCHO2CH3$
BU7	$K_{HO2RO2}$	SECC4H9O2+HO2→ SECC4H9O2H
BU8	$K_{H2}$	SECC4H9O2H+OH $\rightarrow$ SECC4H9O2
BU9	$K_{H3}$	SECC4H9O2H+OH $\rightarrow$ OH+CH3COC2H5
		Ethene chemistry
EE1	Troe	C2H4+OH $\rightarrow$ CH2O2CH2OH
EE2	$K_{RO2NO}$	CH2O2CH2OH+NO→NO2+HCHO+HCHO+HO2
EE3	$K_{HO2RO2}$	$\rm CH2O2CH2OH{+}HO2 \rightarrow CH2OOHCH2OH$
EE4	$K_{H3}$	$\rm CH2OOHCH2OH+OH \rightarrow CH3CHO+OH$
EE5	$K_{H2}$	$\rm CH2OOHCH2OH+OH \rightarrow CH2O2CH2OH$
EE6	1.2E-14*exp(-2630./t)	C2H4+O3→HCHO+0.44 CO+ 0.12 HO2+ 0.4 HCOO
		0.13 H2
		Propene chemistry
PR1	6.5E-15*exp(-1880./t)	O3+C3H6→ 0.5 HCHO+ 0.5 CH3CHO+ 0.07 CH4+ 0
		CO+ 0.28 HO2+ 0.15 OH+ 0.31 CH3O2+ 0.07 H2
PR2	Troe	$OH+C3H6 \rightarrow CH3CHO2CH2OH$
PR3	$K_{RO2NO}$	NO+CH3CHO2CH2OH→NO2+CH3CHO+HCHO+H
PR4	$K_{HO2RO2}$	CH3CHO2CH2OH+HO2→CH3CHOOHCH2OH
PR5	$K_{H3}$	$CH3CHOOHCH2OH+OH \rightarrow CH3COC2H5+OH$
PR6	$K_{H2}$	CH3CHOOHCH2OH+OH $\rightarrow$ CH3CHO2CH2OH
		o-xylene chemistry
<b>X/X/</b> 1	1 275 11	
XY1	1.37E-11	OXYL+OH→OXYO2
XY2	K <sub>RO2NO</sub>	OXYO2+NO→NO2+MGLYOX+MAL+HO2
XY3	$K_{HO2RO2}$	$OXYO2 + HO2 \rightarrow OXYO2H$
XY4	1.7E-11	$OXYO2H + OH \rightarrow OXYO2$
XY5	2.0E-11	MAL+OH $\rightarrow$ MALO2
XY6	K <sub>RO2NO</sub>	MALO2+NO→NO2+HO2+MGLYOX+GLYOX
XY7	$K_{HO2RO2}$	MALO2+HO2→MALO2H
XY8	2.4E-11	$MALO2H+OH \rightarrow MALO2$
XY9	1.1E-11	$OH+GLYOX \rightarrow HO2+CO+CO$
XY10	1.70E-11	OH+MGLYOX→CH3COO2+CO

	Rate coeffi cient	Reaction				
		Isoprene chemistry				
isopiene enemisu y						
IS1	12.3E-15*exp(-2013/t)	ISOP+O3 $\rightarrow$ 0.67 MACR, 0.26 MVK+0.3 O, 0.5				
		OH+0.07 C3H6+0.8 HCHO+0.06 HO2+0.05 CO				
IS2	2.54E-11*exp(410./t)	ISOP+OH $\rightarrow$ ISRO2				
IS3	$K_{RO2NO}$	ISRO2+NO $\rightarrow$ 0.32 MACR+0.42 MVK+0.74 HCHO, 0.1				
		ISNI+0.12 ISRO2+0.78 HO2+0.86 NO2				
IS4	4.13E-12*exp(452./t)	$MVK+OH \rightarrow MVKO2$				
IS5	K <sub>RO2NO</sub>	MVKO2+NO→ 0.684 CH3CHO+0.684 CH3COO2				
		0.266 MGLYOX+0.266 HCHO+0.05 ISNI+0.9				
		NO2+0.95 HO2				
IS6	$K_{HO2RO2}$	ISRO2+HO2→ISRO2H				
IS7	2.0E-11	ISRO2H + OH $\rightarrow$ OH+ISRO2				
IS8	8.0E-18	ISRO2H + O3 $\rightarrow$ *0.7:HCHO				
IS9	1.86E-11*exp(175./t)	MACR+OH→0.5 AOH1+ 0.5:MACRO2				
IS10	1.0E-11	MACRO2+NO2→MPAN				
IS11	1.34E+16*exp(-13330./t)	$MPAN \rightarrow MACRO2 + NO2$				
IS12	2.0E-11	MACRO2+NO→CH2CCH3+NO2				
IS13	$K_{RO2NO}$	$CH2CCH3+NO \rightarrow NO2+CH3COC2H5+HO2$				
IS14	4.32E-15*exp(-2016./t)	MVK+O3→0.82 MGLYOX+0.8 HCHO+0.2 O+ 0.0				
		CO+0.06 HO2+0.04 CH3CHO+0.08 OH				
IS15	3.35E-11	ISNI+OH→ISNIR				
IS16	$K_{RO2NO}$	$ISNIR+NO \rightarrow 0.05 \text{ HO2}+2.0 \text{ NO2}+0.95 \text{ CH3CHO}+0.99$				
		CH3COC2H5				
	(Isoprene-NO3 chemistry:)					
IN1	7.8E-13	ISOP +NO3 $\rightarrow$ ISONO3				
IN2	$K_{RO2NO}$	$ISONO3+NO \rightarrow 1.1 NO2 + 0.8 HO2 + 0.85 ISNI + 0$				
		MACR + 0.15 HCHO + 0.05 MVK				
IN3	$K_{HO2RO2}$	$MVKO2 + HO2 \rightarrow MVKO2H$				
IN4	$K_{HO2RO2}$	$MACRO2 + HO2 \rightarrow MARO2H$				
IN5	$K_{HO2RO2}$	$CH2CCH3+HO2 \rightarrow MAR2O2H$				
IN6	$K_{HO2RO2}$	$ISNIR + HO2 \rightarrow ISNIRH$				
IN7	$K_{HO2RO2}$	$ISONO3 + HO2 \rightarrow ISONO3H$				
IN8	3.2E-11	$MAR2O2H + OH \rightarrow CH2CCH3$				
IN9	2.0E-11	$ISONO3H + OH \rightarrow ISONO3$				
IN10	2.2E-11	$MVKO2H + OH \rightarrow MVKO2$				
IN11	3.7E-11	$ISNIRH + OH \rightarrow ISNIR$				
IN12	3.7E-11	$MARO2H + OH \rightarrow MACRO2$				
		Aerosol chemistry				

continued on next page

#### **CHAPTER 7. CHEMISTRY**

	Rate coeffi cient	Reaction	
AE1	1.0e-5	$H2O2 \rightarrow aerosol$	
AE2	1.0e-5	$CH3O2H \rightarrow aerosol$	
AE3	Sec. 7.6	N2O5  ightarrow 2 x nitrate	
AE4	RH dependent, Sec. 7.6	HNO3  ightarrow pNO3	

Notes:

't'' is temperature, M is third body. Generic reaction rates: FHO2=(1.+1.4E-21\*H2O\*exp(2200./t))  $K_{RO2NO}$ =4.2E-12\*exp(180./t)  $K_{HO2RO2}$ =1.0E-11  $K_{H1}$ =1.0E-12\*exp(190./t)  $K_{H2}$ =1.9E-12\*exp(190./t)  $K_{H3}$ =5.8\* $K_{H1}$   $K_{PCO}$ =1.5 × 10<sup>-13</sup>(1.0 + 0.6 $P_{atm}$ ) where  $P_{atm}$  is air pressure in atmospheres. Reactions IN3...IN12 were added since Note 2/93, see Simpson, 1995 Reaction coefficients are in units of s<sup>-1</sup> for unimolecular reactions, cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> for bimolecular reactions, and cm<sup>6</sup> molecule<sup>-2</sup> s<sup>-2</sup> for termolecular reactions. Reaction steps labelled as 'Immediate'' are given for clarity only.

Notes on UNI-ACID:

H<sub>2</sub>O<sub>2</sub> and O<sub>3</sub> pre-calculated by UNI-OZONE

Parameterization of OH and CH<sub>3</sub>COO<sub>2</sub> described in section 6.2.

# CHAPTER 8

# **Dry Deposition**

### 8.1 **Resistance formulation**

Over a particular land-surface, the loss rate of a particular gas i to the surface, within a volume of unit area and height  $\Delta z$ , is given by the product of a deposition velocity at height  $z_{ref}$  and the concentration  $(C_i)$  at that height:

$$dC_i(z_{ref})/dt = -V_g(z_{ref}) \times C_i(z_{ref})/\Delta z$$
(8.1)

where

$$V_g = \frac{1}{R_a + R_b + R_c} \tag{8.2}$$

 $R_a$  (s m<sup>-1</sup>) is the aerodynamic resistance between  $z_{ref}$  and the top of the vegetation canopy (formally,  $d + z_0$ , where d is the displacement height and  $z_0$  the roughness length),  $R_b$  (s m<sup>-1</sup>) is the quasi-laminar layer resistance to gas i,  $R_c$  (s m<sup>-1</sup>) is the surface (canopy) resistance to gas i.

If we have several different land-use classes within a grid, the grid-average deposition rate is given by:

$$\widetilde{V}_g = \sum_{k=1}^N f_k \times V_g^k \tag{8.3}$$

where  $\tilde{Q}$  symbolises throughout this text the grid-square average of any quantity Q,  $f_k$  is the fraction of land-use type k in the grid-square, and  $V_g^k$  is the deposition velocity for each land-use calculated with eqn [8.2].

#### **Correction for rain-areas**

In grids where rainfall occurs, we assume that the fraction of the surface which is wetted by precipitation is equal to the cloud area fraction  $a_{CL}$  (section 3.1). For soluble species (notably SO<sub>2</sub>), deposition velocities are calculated assuming both dry and wet surfaces, i.e.:

$$V_{g,dry} = \frac{1}{R_a + R_b + R_{c,dry}}, \qquad V_{g,wet} = \frac{1}{R_a + R_b + R_{c,wet}}$$
(8.4)

With total deposition velocity obtained as simply  $V_g = a_{CL}.V_{g,wet} + (1-a_{CL}).V_{g,dry}$ . It should be noted that even 'dry' deposition velocity rates are assumed to be affected by RH, see section 8.6.5.

#### Nitrogen dioxide

The exchange of nitrogen oxides at the surface is very difficult to parameterise in a good way, and observations often show emissions from the surface instead of deposition, especially at low  $NO_2$  concentrations. In a crude attempt to reflect this, equation 8.1 is modified, based loosely upon observations presented in Walton et al. (1997), Fowler and Erisman (2003), Duyzer and Fowler (1994):

$$dC_{NO_2}(z_{ref})/dt = -V_q(z_{ref}) \times [C_{NO_2}(z_{ref}) - C_x]/\Delta z$$
(8.5)

where  $C_x$  is a threshold concentration, 4 ppb. Only deposition is allowed, so that there is no deposition of NO<sub>2</sub> with concentrations below 4 ppb.

### 8.2 Aerodynamic Resistance, R<sub>a</sub>

The first steps in the derivation of sub-grid  $R_a$  are to derive a grid-square average Monin-Obukhov length,  $\tilde{L}$ , similar to eqn. [3.1]:

$$\widetilde{L} = \frac{-\widetilde{\rho} c_p \, \widetilde{T}_2 \, \widetilde{u_*}^3}{k \, g \, \widetilde{H}} \tag{8.6}$$

The 3-D model meteorology includes wind-speed u for the centre of the lowest grid level, at around 45 m. We assume that this height is within or near the top of the surface layer, and proceed to calculate turbulence parameters based upon the *local* values of  $z_0$  and d. These are simply derived from the height, h, of the vegetation (Table 5.1), using d = 0.7h,  $z_0 = 0.1h$  (over water depends on  $u_*$ . We then estimate a new  $u_*$  based upon our  $z_{ref}$  wind. As a first estimate, we assume the local L is equal to the grid-cell  $\tilde{L}$ . Then, :

$$u_* = \frac{u(z_{ref}) k}{\ln\left(\frac{z_{ref} - d}{z_0}\right) - \Psi_m\left(\frac{z_{ref} - d}{L}\right) + \Psi_m\left(\frac{z_0}{L}\right)}$$
(8.7)

 $\Psi_m$  is the similarity function for momentum (eqn [3.3]). Having calculated  $u_*$  in this way, a better estimate of L can be found by substituting  $u_*$  in eqn [8.6]. The aerodynamic resistance for heat or scalars between any two levels  $z_2, z_1$ , is calculated with:

$$R_{a,h}(z_2, z_1) = \frac{1}{k \cdot u_*} \left[ \ln\left(\frac{z_2 - d}{z_1 - d}\right) - \Psi_h\left(\frac{z_2 - d}{L}\right) + \Psi_h\left(\frac{z_1 - d}{L}\right) \right]$$
(8.8)

where  $\Psi_h$  is the similarity function for heat (eqn. [3.3]). For deposition calculations, we set  $z_2$  to be the reference height  $z_{ref}$  and  $z_1$  to be the top of quasi-laminar layer,  $z_0 + d$ , so that we use Ra = Ra,  $h(z_{ref}, d + z_0)$ .

# 8.3 Quasi-laminar layer resistance, $R_b$

$$R_b = \frac{2}{k.u_*} \left(\frac{Sc}{\Pr}\right)^{2/3}$$
(8.9)

Where Sc, the Schmidt number is equal to the  $\nu/D_i$ , with  $\nu$  being the kinetic viscosity of air (0.15 cm<sup>2</sup> s<sup>-1</sup> at 20°C) and  $D_i$  is the molecular diffusivity of gas *i*, and Pr is the Prandtl number, 0.72. Over sea areas the expression of Hicks and Liss (1976) is used:

$$R_b = \frac{1}{k \, u_*} \cdot \ln\left(\frac{z_0}{D_i} \, k u_*\right) \tag{8.10}$$

### 8.4 Surface resistance, $R_c$

Surface (or canopy) resistance is the most complex variable in the deposition model, as it depends heavily on surface characteristics and the chemical characteristics of the depositing gas. Our approach makes use of bulk canopy resistances and conductances (R and G terms, where  $G_x = 1/R_x$  for any x), and of unit-leaf-area (one-sided) resistances and conductances, which we denote with lower-case letters r, g. The general formula for bulk canopy conductances,  $G_c$ , is:

$$G_c = LAI \cdot g_{sto} + G_{ns} \tag{8.11}$$

where LAI is the leaf-area index (m<sup>2</sup> m<sup>-2</sup>, one sided),  $g_{sto}$  is the stomatal conductance, and  $G_{ns}$  is the bulk non-stomatal conductance. For non-vegetative surfaces only the last term is relevant.

At sub-zero temperatures many of the following formulas use a low-temperature resistance. We use the formulation of Wesely (1989), where  $T_s$  is here in °C:

$$R_{low} = 1000 \ e^{-(T_s + 4)} \tag{8.12}$$

Nitric acid is a special case, since in normal conditions the surface resistance to  $HNO_3$  is effectively zero. A minimum value of  $R_c$  of 1 s m<sup>-1</sup> is enforced for numerical reasons, so for  $HNO_3$  the whole canopy resistance is then simply given by:

$$R_{c}^{HNO_{3}} = \max(1.0, R_{low})$$

The more complex formulation for stomatal and non-stomatal conductances for other gases are dealt with below.

#### 8.5 Stomatal conductance

Stomatal resistance is calculated with the multiplicative model of Emberson et al. (2000a):

$$g_{sto} = g_{max} f_{phen} f_{light} \max\left\{f_{min}, f_T f_{VPD} f_{SWP}\right\}$$
(8.13)

where  $g_{max}$  is the maximum stomatal conductance (m s<sup>-1</sup>), and  $f_x$  are factors (from 0–1) accounting for time of year (leaf phenology), the minimum observed stomatal conductance (min), light (actually photon flux density, PFD), leaf-temperature (T), leaf-to-air vapour-pressure deficit (VPD), and soil-water potential (SWP). At the time of writing the current model version (rv1.8) does not include soil water, so effectively  $f_{SWP} = 1$ .

Table 8.1 lists  $g_{max}$  values (although here in mmole O<sub>3</sub> m<sup>-2</sup> PLA s<sup>-1</sup>, denoted  $g_{max}^m$ ) along with values of other parameters needed for the conductance modelling. For pressure *P* and temperature *T*,  $g_{max}$  in m s<sup>-1</sup> units is given by:

$$g_{max} = g_{max}^m RT/P \tag{8.14}$$

*R* is here the gas-constant (8.314 J/mole/K). At normal temperature and pressure,  $g_{max} \approx g_{max}^m/41000$ . For details of the functions used, and methods of calculating radiation in the canopy (for  $f_{light}$ ) and VPD see Emberson et al. (2000a). Further discussion of this algorithm and evaluation can be found in Emberson et al. (2001, 2000a,b), Simpson et al. (2001, 2003b), Tuovinen et al. (2001).

Land	$g_{max}^m$	$f_{min}$	F	Phenolog	У	Light	Ter	nperat			PD	SV	VP
Class	mmol O <sub>3</sub>		$f_{phen_a}$	$f_{phen_b}$	$f_{phen_c}$	$\alpha$	T <sub>min</sub>	Topt	$T_{max}$	VPD <sub>max</sub>	<b>VPD</b> <sub>min</sub>	SWP <sub>max</sub>	SWP <sub>min</sub>
	$m^{-2}$ PLA $s^{-1}$						°C	°C	°C	kPa	kPa	MPa	MPa
CF	160	0.1	0.2	130	130	0.0083	1	18	36	0.6	3.3	-0.76	-1.2
DF	134	0.13	0.3	50	50	0.006	6	20	34	0.93	3.4	-0.55	-1.3
NF	180	0.13	0.3	110	150	0.013	4	20	37	0.4	1.6	-0.4	-1
BF	200	0.03	0.3	110	150	0.009	4	20	37	1.8	2.8	-1.1	-2.8
TC	300	0.01	0.1	0	45	0.009	12	26	40	0.9	2.8	-0.3	-1.1
MC	156	0.019	0.1	0	45	0.0048	0	25	51	1.0	2.5	-0.11	-0.8
RC	360	0.02	0.2	20	45	0.0023	8	24	50	0.31	2.7	-0.44	-1.0
SNL	60	0.01	0.1	0	45	0.009	1	18	36	88.8	99.9	na	na
GR	270	0.01	1.0	0	0	0.009	12	26	40	1.3	3.0	-0.49	-1.5
MS	213	0.014	0.2	130	130	0.012	4	20	37	1.3	3.0	-1.1	-3.1

Table 8.1: Land-scape specific parameters for stomatal conductance modelling

Notes: For definition of land-classes, see Table 5.1. For sources of data see Emberson et al. (2000a), except: DF: New values from UNECE (2003). Note that  $g_{age}, g_{light}$  and  $g_{temp}$  from Emberson et al. (2000a) have been renamed to  $f_{phen}, f_{light}$  and  $f_{temp}$  here, in order to distinguish these factors from true conductances. Conversion of  $g_{max}^m$  as given here to units of m s<sup>-1</sup> is done using equation [8.14]. PLA = projected leaf area (m<sup>2</sup>).

Table 8.2: Properties of gases for dry deposition calculations. Diffusivity ratio for a gas i,  $D_r = D_{H2O}/D_i$ , Effective Henry's coeffi cient,  $H^*$ , and Reactivity index f0. Based upon Wesely et al. (1985).

Gas	$D_r$	$H^*$	f0
SO2	1.9	$1.0 \times 10^{5}$	0.0
O3	1.6	$1.0  imes 10^{-2}$	1.0
NO2	1.6	$1.0 \times 10^{-2}$	0.1
HNO3	1.9	$1.0  imes 10^{14}$	0.0
H2O2	1.4	$1.0 { imes} 10^{5}$	1.0
HCHO	1.3	$6.0 \times 10^{3}$	0.0
CH3CHO <sup>a</sup>	1.6	15	0.0
$OP^b$	1.6	$2.4 \times 10^{2}$	0.1
NH3 <sup>c</sup>	1.0	$1.0 \times 10^{5}$	0.0
PAN	2.6	3.6	0.1

Notes: (a) Used for all aldehydes except HCHO; (b) OP=Wesely "Methyl hydroperoxide" - used for all hyroperoxides (c)  $H^*$  increased compared to Wesely value, reflecting European pH conditions.

#### Other gases

The methodology for stomatal conductance was been developed and tested originally for ozone, but stomatal conductance calculated for ozone is simply scaled for any other gas, i, using the ratio of the diffusivities in air of ozone and gas i. Table 8.2 gives the diffusivities (although expressed relative to water) used in the EMEP model.

#### **8.6** Non-stomatal resistances

 $G_{ns}$  is calculated specifically for  $O_3$ ,  $SO_2$ , and  $NH_3$ . Values for other gases are obtained by interpolation of the  $O_3$  and  $SO_2$  values (section 8.6.4). We will use superscripts to indicate the gas, with *i* for a general gas *i*.

# 8.6.1 Ammonia, $G_{ns}^{NH_3}$

The non-stomatal resistance  $R_{ns}$  for NH<sub>3</sub> is assumed to depend upon surface (2 m) temperature,  $T_s$  (°C), humidity levels, RH (%), and on the molar 'acidity ratio':

$$a_{SN} = 0.6 \times [SO_2] / [NH_3] \tag{8.15}$$

#### **CHAPTER 8. DRY DEPOSITION**

This acidity ratio is a first attempt to account for the observed changes in resistance in areas with different pollution climates (Erisman et al. 2001, Fowler and Erisman 2003). Other possible ratios include  $[NH_3+NH_4^+]/[SO_2 + SO_4^{2-}]$ , but there is insuffi cient data upon which to chose between these ratios for modelling purposes at this time. The factor 0.6 is used to allow for the fact that the ratio of these gases at the surface should be higher than predicted by the EMEP model, due to the large vertical gradients of NH<sub>3</sub> above source areas.

The parameterisation of Smith et al. (2000) has been modified in order to take into account the effects of  $a_{SN}$ , based upon discussions with the Centre for Ecology and Hydrology (Smith et al. 2003). The resulting scheme can be expressed as:

$$R_{ns} = \beta F_1(T_s, RH) F_2(a_{SN}) \quad (T_s > 0)$$
  

$$200 \qquad (-5 < T_s \le 0)$$
  

$$1000 \qquad (T_s \le -5)$$
(8.16)

Where  $\beta$  is a normalising factor (0.0455),  $F_1 = 10 \log(T_s + 2) e^{((100 - RH)/7)}$ , and  $F_2 = 10^{(-1.1099a_{SN} + 1.6769)}$ .

The  $F_1$  term is identical to that of Smith et al. (2000) and provides a relationship of  $R_{ns}$  with temperature and relative humidity. The second function,  $F_2$ , is an equation derived from observations presented in Nemitz et al. (2001), and relates the value at 95% relative humidity and 10°C to the molar ratio of SO<sub>2</sub>/NH<sub>3</sub>. The two terms are equal for molar SO<sub>2</sub>/NH<sub>3</sub> ratio 0.3. The factor  $\beta$  is introduced in order to normalize one equation to the other, i.e to ensure that the combined parameterisation is equal to the two separate terms for 95% relative humidity, 10°C and molar ratio 0.3.

For above-zero temperatures  $R_{ns}$  is constrained to lie between 10 and 200 s/m. Finally, we do not distinguish wet or dry surfaces in this formulation (they are included in the RH dependency used above), so the conductances are:

$$G_{ns,dry} = G_{ns,wet} = 1/R_{ns}$$

### 8.6.2 **Ozone**, $G_{ns}^{O_3}$

Our formulation of the non-stomatal conductance for ozone is more complicated than that of sulphur, but has been extensively evaluated (Emberson et al. 2000a, Tuovinen et al. 2001, 2003):

$$G_{ns}^{O_3} = SAI \cdot g_{ext} + \frac{1}{R_{inc} + R_{as}^{O_3}}$$
(8.17)

where SAI is a surface area index (m<sup>2</sup> m<sup>-2</sup>)  $g_{ext}$  is the external leaf-resistance (cuticles+other surfaces),  $R_{inc}$  is the in-canopy resistance, and  $R_{gs}$  is the ground surface resistance (soil or other ground cover, e.g. moss).

Landuse	$\hat{R}^{SO_2}_{gs}$	$\hat{R}^{O_3}_{gs}$
Forests, Mediterranean scrub	-	200
Crops	-	200
Moorland	-	400
Grasslands	-	1000
Wetlands	-	400
Tundra	500	400
Desert	1000	2000
Water	1	2000
Ice	100	2000
Urban	400	400
	1	

Table 8.3: Base-Values of Ground-Surface Resistance for Sulphur Dioxide  $(\hat{R}_{gs}^{SO_2})$  and Ozone  $(\hat{R}_{gs}^{O_3})$ . Units: s m<sup>-1</sup>.

Notes: '-' - not used in formulation.

The external conductance  $g_{ext}$  is set at 1/2500 m s<sup>-1</sup>. Base-values of  $R_{gs}$  (denoted  $\hat{R}_{gs}$ ) are given in Table 8.3. These are modified for low temperature and snow cover (represented with  $\delta_{snow} = 1$  when snow present, zero otherwise)<sup>1</sup> with:

$$R_{as}^{O_3} = \hat{R}_{as}^{O_3} + R_{low} + 2000 \,\delta_{snow} \tag{8.18}$$

Following Erisman et al. (1994), the in-canopy resistance,  $R_{inc}$ , is defined as  $b.SAI.h/u_*$ , where h is the canopy height and b = 14 (s<sup>-1</sup>) is an empirical constant. These paramaterisations and the choice of values are discussed in detail in Emberson et al. (2000a). We can note that much of the data concerning these deposition pathways is contradictory and much too inconclusive to enable a reliable treatment.

SAI is simply set to LAI+1 for forests, or LAI for non-crop vegetation. For crops a substantial part of the leaf area can be senescent. A simplified version of the methodology of Tuovinen et al. (2003), based upon the life-cycle of wheat, is applied:

$$SAI = LAI + \left(\frac{5}{3.5} - 1\right) LAI \quad \text{for: } SGS < d_N < SGS + L_S \\ = LAI + 1.5 \qquad \text{for: } SGS + L_S < d_N < EGS \qquad (8.19)$$

Where  $d_N$  is the day number, and SGS, EGS,  $L_S$  are as defined in chapter 5. Outside the growing season, SAI = LAI = 0 m<sup>2</sup> m<sup>-2</sup>.

<sup>&</sup>lt;sup>1</sup>The EMEP model uses snow cover from monthly climatological tables, so this approach applies mainly to extensive snow and ice-covered areas

# 8.6.3 Sulphur Dioxide, $G_{ns}^{SO_2}$

The canopy conductance of  $SO_2$  is strongly controlled by wetness and  $NH_3$  levels. According to a summary of recent observations provided to EMEP (Smith et al. 2003), reasonable values for the canopy resistance of  $SO_2$  in areas of low and high  $NH_3$ , and wet and dry conditions, can be summarised as:

Ta	ble 8.4: Basi	s of $R_{ns}$ (s m <sup>-1</sup>	) scheme for $SO_2$			
	$R_{ns}$	Dry surfaces	Wet surfaces			
	High NH <sub>3</sub>	80	40			
	Low NH <sub>3</sub>	180	100			
	From (Smith et al. 2003)					

In a first attempt to interpolate between these values, we make use of the acidity ratio  $a_{SN}$  defined by eqn. [8.15] and introduce a simple function  $F_{SN}$ :

$$F_{SN} = e^{-(2-a_{SN})} \qquad a_{SN} < 2 = 1 \qquad a_{SN} \ge 2$$
(8.20)

This function returns values of 1.0, 0.61, 0.37 for  $a_{SN}$  ratios of 2.0, 1.5 and 1.0. If we define the basic dry and wet resistances, Rd = 180 s m<sup>-1</sup>, Rw = 100 s m<sup>-1</sup>,  $R_{ns}$  values for SO<sub>2</sub> are defined for both wet and dry surfaces:

$$R_{ns,dry}^{SO_2} = Rd * F_{SN} + R_{low} + 2000 \,\delta_{snow} R_{ns,wet}^{SO_2} = Rw * F_{SN} + R_{low} + 2000 \,\delta_{snow}$$
(8.21)

Giving  $G_{ns,dry}^{SO_2}$  and  $G_{ns,wet}^{SO_2}$  as the inverse values.

#### **8.6.4** Extension to Other gases

For all gases other than HNO<sub>3</sub> or NH<sub>3</sub> we obtain  $G_{ns}$  by interpolating between the values for O<sub>3</sub> and SO<sub>2</sub>. This interpolation borrows the solubility index, here denoted  $H_*$ , and the reactivity index,  $f_0$ , from the Wesely (1989) methodology, but these are applied directly now to total non-stomatal conductance rather than to individual resistances (Table 8.2). As there is so little data available on non-stomatal resistances, even for O<sub>3</sub> and SO<sub>2</sub>, this simpler scaling seems acceptable. With these indices, the dry and wet conductance values for a gas *i* are obtained from the values for ozone and SO<sub>2</sub> using:

$$G_{ns,dry}^{i} = 10^{-5} H_{*}^{i} G_{ns,dry}^{SO_{2}} + f_{0}^{i} G_{ns}^{O_{3}}$$
  

$$G_{ns,wet}^{i} = 10^{-5} H_{*}^{i} G_{ns,wet}^{SO_{2}} + f_{0}^{i} G_{ns}^{O_{3}}$$
(8.22)

#### **8.6.5** Humidity effects

The so-called 'dry' values of  $G_{ns}$  are allowed to approach their 'wet' values when RH approaches 100%. We define a humidity factor:

$$F_{RH} = (RH - RH_{lim})/(100 - RH_{lim}) \qquad \text{if } RH > RH_{lim}$$
$$= 1 \qquad \qquad \text{if } RH \le RH_{lim} \qquad (8.23)$$

Where  $RH_{lim}$  is set to 85% for forests and 75% for other canopies, loosely based upon results of wetness measurements presented in Klemm et al. (2002). The final equation applied to  $G_{ns,dry}$  is then:

$$G_{ns,dry} = (1.0 - F_{RH}) G_{ns,dry} + F_{RH} G_{ns,wet}$$
(8.24)

### 8.7 Canopy conductance - non-vegetative surfaces

For surfaces without vegetation canopies, the  $R_{gs}$  values are taken directly from the base  $\hat{R}_{qs}$ -values of Table 8.3 and adding the low-temperature and snow modifications:

$$R_{gs}^{O_3} = \hat{R}_{gs}^{O_3} + R_{low} + 2000 \,\delta_{snow}$$
  

$$R_{qs}^{SO_2} = \hat{R}_{qs}^{SO_2} + R_{low} + 2000 \,\delta_{snow}$$
(8.25)

$$G_{ns,dry} = 10^{-5} H^* / R_{gs,dry}^{SO_2} + f_0 G_{ns}^{O_3}$$
  

$$G_{ns,wet} = 10^{-5} H^* / R_{gs,wet}^{SO_2} + f_0 G_{ns}^{O_3}$$
(8.26)

#### 8.8 Aerosol dry deposition

Aerosol dry deposition velocity at height  $z_{ref}$  is calculated as:

$$V_g = \frac{1}{R_a + R_b + R_a R_b v_s} + v_s$$
(8.27)

where  $v_s$  is the gravitational settling velocity. Other terms are as for gases. An assumption is made that all particles stick to the surface, so that the surface resistance  $R_c$  is set to zero.

The dry deposition velocity of atmospheric aerosols depends on their sizes. The Unifi ed model distinguishes fi ne and coarse particles, which are presently assigned the diameters of 0.3 and 4  $\mu$  m. To account for a strong dependence of the mass average deposition velocity on the aerosol size distribution all the resistances are integrated over the aerosol sizes, assuming a log-normal particle size distribution with the geometric standard deviations of 2.0 and 2.2  $\mu$  m for fi ne and coarse particles respectively.

58

#### 8.8.1 Gravitational settling, $v_s$

The gravitational settling velocity is calculated as

$$v_s = \frac{D_p^2 \rho_p g \, C_c}{18 \, \mu} \tag{8.28}$$

here  $D_p$  and  $\rho_p$  are respectively the particle diameter and density,  $\mu$  is the air dynamic viscosity, g is the gravitational acceleration, and  $C_c$  is the slip correction factor (Cunninghan correction factor) found as

$$C_c = 1 + \frac{2\lambda}{d_p} \left[ 1.257 + 0.4 \exp\left(-\frac{1.1d_p}{2\lambda}\right) \right]$$
(8.29)

here  $\lambda$  is the mean free path of gas molecules in air ( $\lambda = 0.065 \times 10^{-6}$  m).

$$\mu = \nu \rho_{air} \tag{8.30}$$

where  $\nu$  is the air kinematic viscosity ( $\nu = 1.46 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$ ) and  $\rho_{air}$  is the air density.

#### **8.8.2** Quasi-laminar layer resistance, $R_b$

Depending on particle sizes, the viscous (quasi-laminar) layer resistance is largely controlled by processes of Brownian diffusion, interception and impaction. The formulation used depends on the underlying surface:

**Smooth land surfaces (ice, desert)** Following, e.g. Slinn et al. (1978), Seinfeld and Pandis (1998), we use:

$$R_b = \frac{1}{u_*(Sc^{2/3} + 10^{-3/St})}$$
(8.31)

where  $u_*$  is the friction velocity,  $Sc = \nu/D$  is the Schmidt number, St is the Stokes number,  $St = \frac{u_*^2 v_g}{g \nu}$ ,  $\nu$  is the kinematic viscosity of air, and D is the Brownian diffusivity coefficient defined as

$$D = \frac{k T C_c}{3\pi \nu \rho_{air} D_p} \tag{8.32}$$

where k is the Boltzmann constant, T is the ambient temperature,  $\rho_{air}$  is the air density, and  $C_c$  is the slip correction factor, defined as above.

#### **Deposition on vegetative surfaces**

Based on Slinn (1980), the expression for quasi-laminar resistance over vegetation is written as

$$R_b = \frac{1}{u_* (1 + 0.24 \frac{w_*^2}{u_*^2}) \left(Sc^{-2/3} + \frac{\overline{St}}{1 + \overline{St}^2}\right)}$$
(8.33)

Here,  $w_*$  is the convective velocity scale for the PBL (Wesely et al. 1985) and calculated as  $w_* = [g H z_i/(\rho C_p \theta)]^{2/3}$ , where H is the sensitive heat flux,  $z_i$  is the mixed layer height. The average Stokes number is calculated as  $St = \tau u_*/(c\hat{A}) = v_g u_*/(g c \hat{A})$ , where c is a numerical factor, expected to be near unity,  $\hat{A}$  is a characteristic "radius" of large collectors, e.g. grass blades, stalks, needles, etc. ( $\hat{A} = 1$ mm). This parameterisation is applied for all vegetative snow free surfaces and for coniferous forests in all seasons.

For non-coniferous vegetation outside the growing period:

$$R_b = \frac{1}{u_*(1+0.24\frac{w_*^2}{u_*^2}) \left(Sc^{-2/3} + 10^{-3/St}\right)}$$
(8.34)

**Deposition on water** Two parameterisations for the resistance of quasi-laminar layer over seas are implemented.

1. The first one, based on the work by Slinn and Slinn (1980), accounts for the effect of wind and the fact that the surface of natural waters slips as compared to solid surfaces. Then,

$$R_b = \frac{\kappa \cdot u_h}{u_*^2} \frac{1}{(Sc^{-1/2} + 10^{-3/St})}$$
(8.35)

where  $u_h$  is the wind speed at the reference height  $z_{ref}$ . Effects of bubble burst and aerosols capture by sea spray are not allowed for in this parameterisation.

2. The second parameterisation takes into account that over oceans, surfaces of quasi-laminar boundary layer can be disrupted by bursting bubbles. In those cases, the resistance is determined by turbulence and the washout velocity of particles by spray drops (van den Berg and Levieveld 2000):

$$R_b = \frac{1}{(1 - \alpha_{bb})(v_B + v_i) + \alpha_{bb}(v_a + v_w)}$$
(8.36)

where  $\alpha_{bb}$  is the relative area with bursting bubbles,  $v_B$  is the Brownian diffusion velocity,  $v_i$  is the impaction velocity,  $v_w$  is the washout velocity, and  $v_a = 1/R_a$ .

$$v_B = \frac{Cu_*}{\sqrt{Sc \cdot Re}} \tag{8.37}$$

where  $u_*$  is the friction velocity, *Sc* is the Schmidt number (see above), Re is the Reynolds number ( $Re = u_* z_0 / \nu$ ),  $\nu$  is the air kinematic viscosity, and C is a constant (=1/3).

$$v_i = u_* 10^{-3/St} \tag{8.38}$$

where St is the Stokes number.

$$v_w = E_c (2\pi r_{sd}^2) (2z_d) F_{sd} \tag{8.39}$$

where  $E_c$  is the collection efficiency (= 0.5) of the spray drops,  $2\pi r_{sd}^2$  is the area of spray drops ( $r_{sd} = 50\mu$  m),  $z_{sd}$  is the average height reached by the spray drops (= 50 m), and  $F_{sd}$  is the flux of spray drops (=  $5 \times 10^6 m^{-2} s^{-1}$ ). The area of bursting bubbles ( $\alpha_{bb}$ ) can be approximated by the area covered with whitecaps, which is

$$\alpha_{bb} = 1.7 \times 10^{-6} u_{10}^{3.75} \tag{8.40}$$

where  $u_{10}$  it the wind speed at 10 m height.

The latter parameterisation calculates greater deposition velocities of particles. Further tests on those two parameterisations for particle deposition velocities over seas are needed.

#### 8.8.3 Bounce-off

Bounce-off of coarse particles from all dry surfaces is roughly accounted in the model based on the expression suggested by Slinn (1980). The reduction in collection efficiency of particle on the underlying surface, or rebound fraction, R, is calculated as

$$R = \exp(-b\sqrt{St}) \tag{8.41}$$

Here, St is the Stokes number (see above) and b is an experimental parameter (b=2). The underlying surface is assumed dry if no precipitation occurred during last three hours.

# CHAPTER 9

## Wet Deposition

Parameterisation of the wet deposition processes in the Unified EMEP model includes both in-cloud and sub-cloud scavenging of gases and particles.

## 9.1 In-cloud scavenging

The in-cloud scavenging of a soluble component C is given by the expression:

$$\Delta C_{wet} = -C \frac{W_{in} \cdot P}{\Delta z \cdot \rho_w} \tag{9.1}$$

where  $W_{in}$  is the in-cloud scavenging ratio given in Table 9.1, P (kg m<sup>-2</sup>s<sup>-1</sup>) is the precipitation rate,  $\Delta z$  is the scavenging depth (assumed to be 1000 m) and  $\rho_w$  is the water density (1000 kg m<sup>-3</sup>). We do not account for the effect that dissolved material may be released if clouds or rain water evaporate.

# 9.2 Below-cloud scavenging

For below cloud scavenging a distinction is made between scavenging of particulate matter and gas phase components. The sub-cloud scavenging of the gases is calculated as:

$$\Delta C_{wet} = -C \frac{W_{sub} \cdot P}{\Delta z \cdot \rho_w} \tag{9.2}$$

where  $W_{sub}$  is the sub-cloud scavenging ratio given in Table 9.1. Wet deposition rates for particles are calculated, based on Scott (1979), as:

effi ciencies used in the Unifi ed model.						
Component	$W_{in} (*10^6)$	$W_{sub} (*10^{6})$	E			
$SO_2$	0.3	0.15	-			
$HNO_3$	1.4	0.5	-			
$\mathrm{NH}_3$	1.4	0.5	-			
$H_2O_2$	1.4	0.5	-			
HCHO	0.1	0.03	-			
$\mathbf{SO}_4^{2-}$	1.0	-	0.1			
$NO_3^-$ fi ne	1.0	-	0.1			
$NH_4^+$	1.0	-	0.1			
$PPM_{2.5}$	1.0	-	0.1			
$NO_3^-$ coarse	1.0	-	0.4			
PPM coarse	1.0	-	0.4			

Table 9.1: Wet scavenging ratios and collection efficiencies used in the Unified model.

$$\Delta C_{wet} = -C \frac{A \cdot P}{V_{dr}} \cdot \bar{E} \tag{9.3}$$

where  $V_{dr}$  is the the raindrop fall speed ( $V_{dr}=5 \text{ m s}^{-1}$ ),  $A = 5.2 \text{ m}^3 \text{ kg}^{-1} \text{ s}^{-1}$  is the empirical coefficient (a Marshall-Palmer size distribution is assumed for rain drops), and  $\bar{E}$  is the size-dependent collection efficiency of aerosols by the raindrops (see Table 9.1).

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# APPENDIX A

### Aerosol Dynamics Model (UNI-AERO)

### A.1 Introduction

The EMEP aerosol model (UNI-AERO) describes emissions, chemical transformation, dynamics, transport, and dry and wet deposition of atmospheric aerosol. The aerosol model considers primary and secondary aerosols. Primary particles are those directly emitted in the atmosphere, while secondary aerosols are formed in the atmosphere through gas-to-particle conversion.

The aerosol model includes 14 chemical prognostic components:

- Gases SO<sub>2</sub>, H<sub>2</sub>SO<sub>4</sub>, NO, NO<sub>2</sub>, HNO<sub>3</sub>, PAN, NH<sub>3</sub>;
- Aerosols SO<sub>4</sub>, NO<sub>3</sub>, NH<sub>4</sub>, organic carbon(OC), elemental carbon (EC), mineral dust, sea salt (NaCl);
- Aerosol liquid water is a diagnostic component.

Secondary Organic Aerosols (SOA) and re-suspended and wind eroded mineral dust are not presently accounted for in the model.

UNI-AERO describes the aerosol size distribution with four modes: nucleation (particles with diameters  $d<0.02\mu$ m), Aitken ( $0.02\mu$ m<d $<0.1\mu$ m), accumulation ( $0.1\mu$ m<d $<2.5\mu$ m), and coarse (d $<10.0\mu$ m). All particles within each mode are assumed to have the same size (monodisperse) and chemical composition (internally mixed aerosols) (Table A1). A flexible design of the aerosol model allows to easily modify the definitions of size modes.

UNI-AERO calculates particle mass and number distributed in the four modes, aerosol chemical composition, as well as the concentrations of  $PM_{2.5}$  and  $PM_{10}$ . UNI-AERO can also calculate other PM concentrations, e.g.  $PM_1$  if required. The present

		<u> </u>				,	М	N	Water
	Ν	Μ	М	Μ	Μ	Μ	Μ	Μ	Water
		SO4	NO3	NH4	EC	OC*	Dust**	Sea	
								salt	
Nucleation	Χ	Х				0			
D<0.02 μm									
Aitken	X	Х	Х	Х	Х	Х	0	Х	Diagnostic
$0.02 < D < 0.1 \ \mu m$									parameter
accumulation	X	Х	Х	Х	Х	Х	Х	Х	
$0.1 < D < 2.5 \ \mu m$									
coarse	Х	Х	Х	0			Х	Х	
2.5 <d< 10="" td="" μm<=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></d<>									

Table A:1: Prognostic variables in MM32: N-number and M-mass concentration. (element present (X) or may be present (O) in the mode)

\*) Only primary OC is currently considered

\*\*) Only anthropogenic mineral dust is currently considered

version of UNI-AERO solves 29 prognostic equations (7 for gases, 4 for particle numbers and 18 for particle masses). The EMEP aerosol model is quite cost-efficient in terms of CPU usage.

### A.2 Emissions

#### A.2.1 Primary anthropogenic PM<sub>2.5</sub> and PM<sub>10</sub> emissions

Primary  $PM_{2.5}$  and  $PM_{10}$  emissions are disaggregated into 10 source sectors and over the EMEP grid and distributed vertically as described in section 4.1. Chemical speciation of  $PM_{2.5}$  emissions into organic carbon (OC), elemental carbon (EC) and inorganic components is based upon the source presented in Andersson-Sköld and Simpson (2001). OC and EC emissions are further distributed between Aitken and accumulation modes. Different tests were made where from 15 to 20% of  $PM_{2.5}$  mass is assigned to the Aitken and from 85 to 80% to the accumulation mode (e.g. Seinfeld and Pandis 1998, Berdowski et al. 1997). Since no appropriate chemical speciation of PM emissions is presently available, the inorganic components in UNI-AERO are presently assumed to be mineral dust. All mineral dust from  $PM_{2.5}$  emissions is assigned to the accumulation mode (Table A:2).

Emissions of coarse particles are calculated as the difference between  $PM_{10}$  and  $PM_{2.5}$  emissions. Primary coarse PM is assumed to consist of dust particles and assigned to the coarse mode. Table A:2 summarises the assumptions on the chemical composition and size distribution of  $PM_{2.5}$  and  $PM_{10}$  emission presently adopted in UNI-AERO.

Particles number emissions in the Aitken, accumulation and coarse modes are de-

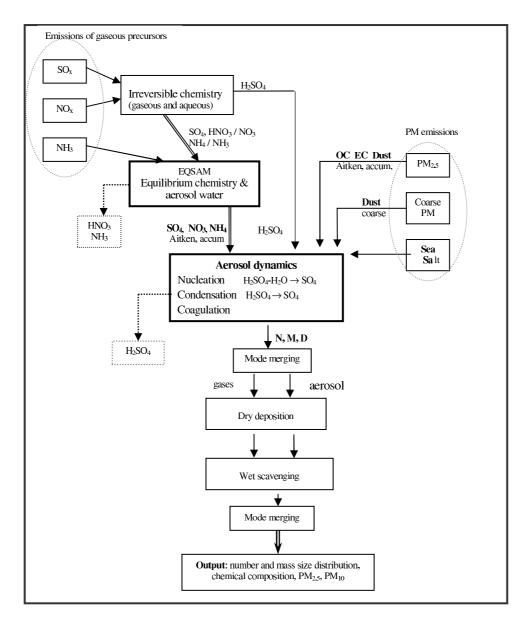


Figure A.1: Schematic computational structure of the EMEP aerosol model (advection and diffusion is not shown here).

rived from the mass emissions in the corresponding modes as

$$EmN_i = \frac{6}{\pi} \cdot \frac{EmM_i}{\rho_i D_i^3} \tag{A.1}$$

where  $EmN_i$  is the particle number emission in the mode i (m<sup>-3</sup> s<sup>-1</sup>),  $EmM_i$  is the particle mass emission in the mode  $i(\text{kg m}^{-3} \text{ s}^{-1})$ ,  $D_i$  is the average diameter of particles emitted in the mode i (m), and  $\rho_i$  is the average particle density (kg m<sup>-3</sup>), found as the mass weighted mean density of aerosol components present in the mode.

<b>PM</b> <sub>2.5</sub>	OC	EC	Inorganics
Source sectors			(Mineral
			dust)
Power generation	33	33	33
Non-industrial combustion	50	20	30
Industrial combustion	33	33	33
Production processes	0	20	80
Extraction & distribution of fossil fuels	40	50	10
Solvent and other product use	40	20	40
Road transport	40	20	40
Other mobile sources and machinery	40	20	40
Waste treatment and disposal	10	60	30
Agriculture	70	0	30
Size distribution (Aitken/accum) (%)	15/85	15/85	0 / 100
	(20/80)	(20/80)	
Coarse PM	-	-	100
$(\mathbf{PM}_{10}\text{-}\mathbf{PM}_{2.5})$			
<b>Density,</b> $\rho$ (kg/m <sup>3</sup> )	2000	2200	2600

Table A:2: Size and chemical speciation of primary PM emission (in %) and component densities ( $kg/m^3$ ) used in UNI-AERO

Diameters of primary emitted particles tested are  $0.03-0.05\mu$ m for Aitken mode, 0.2-0.5  $\mu$ m for accumulation mode and 4-5  $\mu$ m for coarse mode. The same assumptions on emissions size distribution and particle sizes are applied for all emission sources and countries.

#### A.2.2 Sea salt generation

The generation of sea salt aerosol over oceans is driven by the surface wind. There are two main mechanisms for sea salt aerosol generation: bubble bursting during whitecap formation (indirect) and through spume drops under the wave breaking (direct). The latter mechanism is believed to be important source for particles larger than 10  $\mu$ m and at wind speeds exceeding 10-12 m/s and therefore not considered here. Two parameterisation schemes for sea salt spray generation are implemented in the aerosol model.

1. The first parameterisation of the generation of sea salt aerosols through bubble bursting uses the empirical expression from Monahan et al. (1986):

$$\frac{dF}{dr_w} = 1.373 \cdot U_{10}^{3.41} r_w^{-3} \left(1 + 0.057 r_w^{1.05}\right) \cdot 10^{1.19 \exp(-B^2)} \tag{A.2}$$

where  $dF/dr_w$  is the rate of sea salt droplet generation per unit area of sea surface and per increment of droplet "wet" radius,  $r_w$  is the aerosol wet radius at 80 % relative humidity,  $U_{10}$  is the wind speed at 10m, and  $B = (0.380 - log(r_w)/0.650$ . This parameterisation is employed to calculate the generation of sea salt aerosol larger than  $r_w = 0.8$  $\mu$ m (or particles with dry diameters exceeding ca. 1-2  $\mu$ m).

2. The second parameterisation for the generation of sea salt aerosols with dry diameters smaller than 1-2  $\mu$ m is from work by Mårtensson et al. (2003).

$$\frac{dF}{d\log D_d} = 3.84 \cdot 10^{-6} \left( A_k T_w + B_k \right) \cdot U_{10}^{3.41} \tag{A.3}$$

where  $dF/d(\log D_d)$  is the flux of sea salt particle per unit area of the whitecap cover and per increment of  $(\log D_d)$ ,  $D_d$  is the dry diameter,  $T_w$  is the temperature of sea water (constant  $T_w$ =280 K was used for now), and  $A_k$  and  $B_k$  describes the size dependence of F:

$$A_{k} = c_{4}D_{d}^{4} + c_{3}D_{d}^{3} + c_{2}D_{d}^{2} + c_{1}D_{d} + c_{0}$$
  

$$B_{k} = d_{4}D_{d}^{4} + d_{3}D_{d}^{3} + d_{2}D_{d}^{2} + d_{1}D_{d} + d_{0}$$
(A.4)

and the empirical coefficient q and  $d_i$  are tabulated.

### A.3 Chemistry

The aerosol model is based on the simplified  $SO_x$ -NO<sub>x</sub> chemistry of the Acid Deposition model (Chapter 7 in this report).

#### A.3.1 Sulphate production

Differently from UNI-OZONE and UNI-ACID, UNI-AERO treats separately gaseous sulphuric acid ( $H_2SO_4$ ), formed through gas-phase reactions, and sulphate aerosol ( $SO_4$ ) due to aqueous phase formation.

Gaseous  $H_2SO_4$  is formed in the model in the gas-phase oxidation of  $SO_2$  by hydroxyl radical OH.  $H_2SO_{4(g)}$  contributes to the formation of new particles through homogenous nucleation and to the increase of  $SO_4$  aerosol mass due to condensation on pre-existing particles. The continuity equation for gaseous  $H_2SO_{4(g)}$  can schematically be written as:

$$\frac{d(H_2SO_{4(g)})}{dt} = EmSO_4 + chem(H_2SO_4) - nucl - cond - Ddep_{H2SO4} - Wdep_{H2SO4}$$

Here  $EmSO_4$  designates the fraction of SO<sub>x</sub> emissions in the form of H<sub>2</sub>SO<sub>4</sub> (presently 5 %), *chem*(H<sub>2</sub>SO<sub>4</sub>) is the rate of H<sub>2</sub>SO<sub>4</sub> production due to oxidation of SO<sub>2</sub> by OH, *nucl* and *cond* are the loss rates of H<sub>2</sub>SO<sub>4</sub> due to nucleation and condensation onto pre-existing particles and  $Ddep_{H2SO_4}$  and  $Wdep_{H2SO_4}$  are the rates of H<sub>2</sub>SO<sub>4</sub> dry and wet deposition.

In clouds, the aqueous phase oxidation of  $SO_2$  by  $H_2O_2$  and  $O_3$ , which takes place in cloud droplets formed on atmospheric particles, forms particulate sulphate. Most  $SO_4$  mass produced is accociated with the accumulation mode particles, which get activated effi ciently. A much smaller fraction of Aitken particles compared to accumulation particles gets activated, but they gain water becoming interstitial aerosols. Even though the lifetime of interstitial aerosols can be rather short as they get scavenged by the cloud droplets, they contain a signifi cant amount of water thus facilitating aqueous phase reactions. In clouds, this is believed to be the main mechanism of Aitken particles growth to the accumulation mode (see section A.6).

#### A.3.2 Gas/aerosol partitioning

The new version of the Equilibrium Simplified Aerosol Model, EQSAM (Metzger et al. (2002) and pers. comm.), has recently been implemented in the aerosol model. EQSAM allows in a computationally efficient way to calculate partitioning of volatile components between gas and aerosol phases. Compared to the previous EQSAM version implemented also in the Unified model, more accurate parameterisations to calculate the aerosol molalities and the solute activity coefficients are included in the latest EQSAM (according to the appendix of (Metzger et al. 2002).

The main assumption made in EQSAM is that volatile species in the gas and aerosol phases are in chemical and thermodynamical equilibruim. Then, the aerosol activity (or aerosol molality) and hence the chemical composition is governed by the relative humidity. Based on the cation/anion mole ratios  $([Na]+[NH_3]+[NH_4])/[SO_4]$ , the entire set of possible aerosol compositions is devided in concentration domains. Each domain represents a certain aerosol type and is further subdivided into several sub-domains, according the the regime of deliquescence relative humidity for the corresponding aerosol composition. Assumption on metastable aqueous aerosols is adopted. The aerosol water content is calculated basen on the semi-empirical so-called ZSR-relation. The chemical composition of aerosol (i.e. aerosol/gas partitioning) is found from aerosol chemical equilibrium, which is determined by the temperature dependent equilibrium coeffi cient K(T).

In addition to nitrate and ammonium, the new EQSAM version was extended to include Na<sup>+</sup> and Cl<sup>-</sup> from sea salt spray, so that a thermodynamic equilibrium of  $SO_4^{2-}-NO_3^{-}-NH_4^{+}-Na^{+}-Cl^{-}-H_2O$  system is considered. In this way, EQSAM accounts for the formation of coarse  $NO_3^{-}$  on the sea salt aerosols (NaNO<sub>3</sub>) in addition to fi ne  $NO_3^{-}$  associated with ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>).

#### A.4 Aerosol dynamics

The aerosol dynamics module MM32 (Pirjola et al. 2003, Pirjola and Kulmala 2000) accounts in the aerosol model for particle nucleation, condensation and coagulation processes.

The differential equations for the evolution of particle **number** in all modes due to aerosol dynamic processes are:

$$\delta N_1 / \delta t = Inuc - 0.5K_{11}N_1^2 - K_{12}N_1N_2 - K_{13}N_1N_3 - K_{14}N_1N_4$$
  

$$\delta N_2 / \delta t = -0.5K_{22}N_2^2 - K_{23}N_2N_3 - K_{24}N_2N_4$$
  

$$\delta N_3 / \delta t = -0.5K_{33}N_3^2 - K_{34}N_3N_4$$
  

$$\delta N_4 / \delta t = -0.5K_{44}N_4^2$$
(A.5)

Here  $N_i$  (cm<sup>-3</sup>) is the particles number concentration in the mode *i*, *Inuc* (cm<sup>-3</sup> s<sup>-1</sup>) is the nucleation rate,  $K_{ij}$  (cm<sup>3</sup> s<sup>-1</sup>) is the coagulation coefficient between particles in *i* and *j* modes (i = j means coagulation of particles in the same mode, i.e. intramode coagulation, and  $i \neq j$  means coagulation of particles from different modes, i.e. intermode coagulation). Indexes *i*=1, 2, 3, 4 refer to the nucleation, Aitken, accumulation and coarse mode, respectively.

Equations for the evolution of **mass concentration** due to aerosol dynamics are written for all aerosol components present in each of four size modes. As an example, evolution equations for  $SO_4$  mass are given here:

$$\delta M_{1}/\delta t = Inuc \cdot n_{h2so4} \cdot m_{h2so4} + C_{1} \cdot m_{h2so4} N_{h2so4} N_{1} \\ -K_{12}N_{1}N_{2} \cdot m_{p1} - K_{13}N_{1}N_{3} \cdot m_{p1} - K_{14}N_{1}N_{4} \cdot m_{p1} \\ \delta M_{2}/\delta t = C_{2} \cdot m_{h2so4}N_{h2so4}N_{2} + K_{12}N_{1}N_{2} \cdot m_{p1} \\ -K_{23}N_{2}N_{3} \cdot m_{p2} - K_{24}N_{2}N_{4} \cdot m_{p2} \\ \delta M_{3}/\delta t = C_{3} \cdot m_{h2so4}N_{h2so4}N_{3} + K_{23}N_{2}N_{3} \cdot m_{p2} - K_{34}N_{3}N_{4} \cdot m_{p3} \\ \delta M_{4}/\delta t = C_{4} \cdot m_{h2so4}N_{h2so4}N_{4} + K_{34}N_{3}N_{4} \cdot m_{p3}$$
(A.6)

Here  $M_i$  ( $\mu g m^{-3}$ ) is the SO<sub>4</sub> mass concentration in mode *i*,  $n_{h2so4}$  is the number of sulphuric acid molecules in a critical cluster,  $m_{h2so4}$  is the mass of a sulphuric acid molecule,  $C_i N_{h2so4} N_i$  (molec/m<sup>3</sup>s) is the condensation rate of sulphuric acid onto particles in the mode *i*,  $m_{pi}$  is the mass of an average sulphate particle in the mode *i*. Terms  $K_{ij}N_iN_j \cdot m_{pi}$  designate the mass lost from the smaller mode*i* and gained by the larger mode*j* due to coagulation between particles in these modes. Evolution equations for the masses of other aerosol component can be written analogously, excluding nucleation and condensation terms.

The prognostic mass equations are formulated for dry masses. Particles containing hygroscopic components (SO<sub>4</sub>, NO<sub>3</sub>, NH<sub>4</sub>, sea salt and partly OC) will change their sizes due to water condensation or evaporation depending on the ambient relative humidity. A current wet diameter  $D_i$  of the particles in each size mode is calculated as

$$D_i = \left(\frac{6}{\pi} \cdot \frac{M_i}{\rho_i N_i}\right)^{1/3} \tag{A.7}$$

where  $M_i$  is the total, including water component, aerosol mass in the size mode i,  $\rho_i$  is the average particle density in the mode i, which is updated at every time step depending on the particle chemical composition. The aerosol water content is a diagnostic parameter and calculated in UNI-AERO by the EQSAM model (see section A.3.2). The actual "wet" diameter is then used in calculations of aerosol dynamics and particle dry deposition.

#### A.4.1 Nucleation

Nucleation is one of the main processes for new particles production. In the aerosol model, three parameterisations for the rate of particle formation by nucleation are available. Those are:

- Binary nucleation rate based on the revised classical theory for binary homogeneous nucleation for H<sub>2</sub>SO<sub>4</sub>-H<sub>2</sub>O system (Kulmala et al. 1998).
- A preliminary parameterisation for ternary H<sub>2</sub>SO<sub>4</sub>-NH<sub>3</sub>-H<sub>2</sub>O nucleation rate developed by Korhonen et al. (1999).
- Parameterisation for the binary nucleation rate formulated at the Institute for Tropospheric Research in Leipzig (Berndt et al. 2000).

An empirical parameterisation for the rate of homogeneous nucleation of H<sub>2</sub>SO<sub>4</sub>-H<sub>2</sub>O from the MADMAcS model at the Institute of Tropospheric Research, Leipzig is currently used in model calculations. A general form for the nucleation rate  $I_n uc$  (m<sup>-3</sup> s<sup>-1</sup>) is

$$I_{nuc} = (A \cdot [C_{h2so4}]^{na} \cdot [C_{h2o}]^{nw}) / (B + [C_{h2o}]^{nw})$$
(A.8)

where  $[C_{h2so4}]$  and  $[C_{h2o}]$  are the concentrations of sulphuric acid and water, na and nw are number of sulphuric acid and water molecules in a stable critical cluster, A and B are empirical parameters. The critical H<sub>2</sub>SO<sub>4</sub> concentration necessary for nucleation onset is calculated following Kulmala et al. (1998)

$$C_{\rm h2so4,crit} = \exp(-14.5125 + 0.1335T - 10.5462Rh - 19.85Rh/T)$$
(A.9)

 $C_{h2so4,crit}$  is the critical gas-phase H<sub>2</sub>SO<sub>4</sub> concentration, which provides a nucleation rate of 1 m<sup>-3</sup> s<sup>-1</sup>, T is the temperature and Rh is the fractional relative humidity.

Newly formed particles are assigned to the nucleation mode.

#### A.4.2 Condensation

Condensation of vapours is one of the processes responsible for the growth of atmospheric particles, especially nucleation and Aitken, and for changing the aerosol chemical composition. Condensation is driven by the difference between ambient gas concentration and that at the particle surface. In MM32, only condensation of gaseous  $H_2SO_4$  is presently considered.  $H_2SO_4$  vapour pressure at the particle surface is currently assumed to be zero, which will result in maximum condensation flux. The continuum regime theory for condensation corrected for the free-molecular regime by a transitional correction factor is used to calculate the condensation rate (Fuchs and Sutugin 1970). The expression for condensation rate  $C_{cond}$  (molec m<sup>-3</sup> s<sup>-1</sup>) of H<sub>2</sub>SO<sub>4</sub> to particles in mode *i* is written as

$$C_{cond} = C_i N_{h2so4} N_i \tag{A.10}$$

where  $C_i = 4\pi \cdot r_i \beta_M D$ . Here,  $N_{h2so4}$  (cm<sup>-3</sup>) is the concentration of sulphuric acid molecules,  $N_i$  (cm<sup>-3</sup>) is the number concentration and  $r_i$  is the radius of particles in mode *i*, *D* is the diffusion coefficient.  $\beta_M$  is the transition correction factor calculated as

$$\beta_M = \frac{Kn+1}{0.377Kn+1 + \frac{4}{3}\alpha^{-1}Kn^2 + \frac{4}{3}\alpha^{-1}Kn}$$
(A.11)

where  $\alpha$  is the accommodation coefficient (presently  $\alpha=1$ ),  $Kn = \lambda_v/r$  is the Knudsen number,  $\lambda_v$  is the mean free path of vapour molecules. In the continuum regime (Kn <=1),  $\beta_M = 1$  and in the free-molecular regime (Kn > 10),  $\beta_M \sim 3d/(4\lambda_v)$ .

#### A.4.3 Coagulation

Coagulation modifi es the aerosol size distribution, and its overall effect is a reduction of particles number. This process is particularly efficient for smallest particles in the nucleation and Aitken modes. Currently, only coagulation due to particle Brownian motion, which is the dominating coagulation mechanism for sub-micron particle, is accounted for in UNI-AERO. MM32 calculates the Brownian coagulation coefficients based on Fuchs (1964). The Brownian coagulation coefficient  $K_{ij}$  (cm<sup>-3</sup> s<sup>-1</sup>) between particles in *i* and *j* modes is calculated for all size regimes, i.e. free molecular (Kn > 10), transition (1 < Kn <=10) and continuum (Kn <=1) by

$$K_{ij} = \frac{K_C^B}{\frac{r_i + r_j}{r_i + r_j + \sigma_{ij}} + \frac{4(D_i + D_j)}{(\bar{c}_i^2 + \bar{c}_i^2)^1 / 2(r_i + r_j)}}$$
(A.12)

where the Brownian coagulation coeffi cient in the continuum regime is:

$$K_C^B = 4\pi (r_i + r_j)(D_i + D_j)$$
(A.13)

Here  $r_i$  and  $r_j$  are the radii of coagulating particles,  $D_i$  and  $D_j$  are their diffusion coefficients, and  $q_i$  is the particle mean termal velocity. The coagulation coefficient is smallest for particles of the same size and increases rapidly as the ratio between the particle diameters increases.

When intermodal coagulation occurs, particles from the smaller mode coagulate to particles in the larger mode. If coagulating particles belong to the same mode (intramode coagulation) they will continue in the same mode after coagulation.

#### A.4.4 Mode merging

The scheme for aerosol mass transfer from the nucleation to the Aitken mode and from the Aitken to the accumulation mode due to particle growth is based on the "mode merging by renaming" algorithm by Binkowski (1999). To apply this method, a log-normal particles size distribution is imposed on the nucleation and Aitken mode. Constant values for standard deviations of particle distribution are assigned in these modes based on observation data (e.g. Jaenicke (1993), Neusüß et al. (2002)).

The criterion for mode merging used is

$$\sigma_g d_g > d_{th}$$

where  $d_g$  is the geometric mean diameter, which is equal to the monodisperse diameter, and  $\sigma_g$  is the geometrical standard deviation for the log-normal distribution, and  $d_{th}$  is the threshold upper diameter. The complementary error function for particle number and mass is then used to find the fractions of particles greater than the threshold value as:

$$F_N = 0.5 \cdot erfc(x_N), \qquad \qquad F_M = 0.5 \cdot erfc(x_M) \qquad (A.14)$$

where  $F_N$  and  $F_M$  are the fractions of particle number and mass to be moved to the larger mode, and

$$x_N = \frac{\ln \left(\frac{D_l}{D_g}\right)}{\sqrt{2}\ln \sigma_g}, \qquad \qquad x_M = x_N - \frac{3\ln \left(\sigma_g\right)}{\sqrt{2}}$$
(A.15)

Then, the correspondent portions of number and mass concentrations (including aerosol water) transferred to the larger mode, and the diameters in both modes are re-calculated based on new mass and number concentrations.

#### A.5 Aerosol water content

The aerosol associated water is treated in the aerosol model as a diagnostic parameter and calculated by the equilibrium model EQSAM (see section A.3.2) as:

$$LWC = \sum_{i}^{N} (M_i/m_i) \tag{A.16}$$

where LWC is the aerosol liquid water content (kg m<sup>-3</sup>), N is the total number of single-salt solutions,  $M_i$  [mol/m<sup>3</sup>] is the molar concentration and m<sub>i</sub> [mol/kg] is the molality of salt *i*.

Aerosol water in each size mode is computed at every time step and added to the particle dry mass. Based on the total PM mass, the particle "wet" diameters are derived and then used in the aerosol dynamics and in the dry and wet deposition calculations. Consistently, the particle density is re-calculated as the mass weighted average of the densities of all (including aerosol water) aerosol components in each mode.

### A.6 Cloud processing of aerosols

#### A.6.1 Accumulation particles

In clouds, all particles in the accumulation mode are assumed to get activated and form cloud droplets. This provides the medium for aqueous oxidation of  $SO_2$  by  $H_2O_2$  and  $O_3$  for form sulphate aerosol (see section A.3.1), resulting in the growth of accumulation particles. Presently in the aerosol model, 95% of  $SO_4$  formed in clouds goes to increase the mass in accumulation mode.

#### A.6.2 Aitken particles

The part of Aitken particles, which radii exceed the critical radius at the actual supersaturation, get activated and assumed to grow fast enough to the accumulation mode. A simple preliminary relationship from Fitzgerald (1973) between dry critical radius and the supersaturation is implemented in UNI-AERO:

$$r_d = 1.53 \cdot 10^{-6} \varepsilon^{-0.31} S_c^{-2/3} \tag{A.17}$$

where  $r_d$  (cm) is the particle dry critical radius,  $\varepsilon$  is the fraction of water-soluble species in the particle (0.3 and 0.5 values were tested), and Sc (%) is the supersaturation. To obtain the fraction of Aitken particles with radius greater than  $r_d$ , a log-normal size distribution is imposed on Aitken particles in the same way as in section A.4.4.

The remaining portion of Aitken aerosols becomes interstitial cloud aerosols, which contain a significant amount of water thus facilitating aqueous phase reactions. 5% of  $SO_4$  formed in aqueous reactions goes to grow Aitken particles (H.-C. Hansson, person. comm.).

### A.7 Dry deposition

The common formulation for parameterisation of dry deposition of gases and particles is employed in the Unified model and in the aerosol model (see section 8). The only difference is that the actual particle sizes, i.e. wet diameters, are used to calculate dry deposition velocities of particles in each mode.

(	<i>D</i> ) presen	uy used in ONI-A	LKO		
		nucleation	Aitken	Accumulation	coarse
		mode	mode	mode	mode
	$W_{in} \cdot 10^6$	0.0	0.2	0.7	0.7
	Е	0.4	0.3	0.1	0.4

Table A:3: Particle in-cloud scavenging ratio  $(W_{in})$  and sub-cloud collection efficiency (E) presently used in UNI-AERO

## A.8 Wet scavenging

The common parameterisations of wet deposition of gases and particles are employed in the Unifi ed model and the aerosol model (see section 9). The only difference is that in UNI-AERO, the in-cloud scavenging ratios and the collection efficiencies for belowcloud aerosol scavenging are assigned in each size mode (Table A:3). The correspondent scavenging rates are applied for both particle number and mass concentrations in each mode.

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# APPENDIX B

## Calculations of AOTx and Stomatal Flux

#### **B.1** Introduction

One of the main reasons for running the EMEP model is to generate results for use in intergrated assessment modelling (IAM), and for studies on the risks and damages caused by pollution. In previous years the ozone outputs have consisted largely of so-called AOTx values (typically AOT40, AOT60) which were based upon the model's predicted grid-average ozone concentrations at a height <sup>1</sup> of 1 m.

New concepts for assessing risks of damage to vegetation have meanwhile been developed and incorporated into the new ICP Mapping Manual (see UNECE 2003, and references therein).

The first important change is that the Mapping Manual now places extra stress on the need to calculate ozone concentrations at the top of the vegetation canopy, rather than to some height above the canopy (e.g. 1 m, as typically used in modelling, or 3-5 m as often used from measurements). Thus, AOTx calculations should now be specific to the vegetation in question rather than just grid-square averages as calculated previously.

Additionally, the Mapping Manual has now defined critical levels for ozone uptake though the stomata of vegetation - a much more ambitious undertaking, but one for which there are strong biological arguments. The Mapping Manual suggests three vegetation categories for which stomatal flux calculations should be conducted. These are wheat, potato and beech.

These new concepts have lead to a need for more complex outputs from the EMEP model, and this Appendix outlines the new outputs and their derivation in the model. It should be noted that not only stomatal fluxes, but also the canopy-level concentrations,

<sup>&</sup>lt;sup>1</sup>Technically, the calculations were to a height 1 m above the displacement height of the model grid

AOTs, and fluxes are inherently more uncertain than calculations of concentrations at levels such as 3 m above the canopy or of boundary layer averages. However, the potential benefits of calculating ozone close to the canopy, from the effects point of view, hopefully outweigh the uncertainties involved.

It should be emphasised that no fi nal decisions have yet been made on the outputs to be used in IAM modelling for policy purposes. However, the methods outlines below are intended to be flexible (for example in the choice of growing seasons, parameters) and hopefully cover most requirements.

#### **B.2** Ozone concentrations at the canopy height

If we have modelled concentrations  $c(z_R)$  at reference height  $z_R$ , then we find concentration values appropriate to a height  $z_1$  (1 m, say) by making use of the constant-flux assumption and definition of aerodynamic resistance:

Total flux = 
$$V_g(z_R) \cdot c(z_R) = (c(z_R) - c(z_1))/R_{a,h}(z_R, z_1)$$
 (B.1)

where  $V_g(z_R)$  is the deposition velocity (m/s) at height  $z_R$ , and  $R_{a,h}(z_R, z_1)$  is the aerodynamic resistance for heat and scalars between the two heights (s m<sup>-1</sup>). Rearranging the 2nd two terms, we get:

$$c(z_1) = c(z_R) \cdot [1 - (R_{a,h}(z_R, z_1) \cdot V_g(z_R))]$$
(B.2)

The deposition velocity,  $V_g$ , and resistance  $R_{a,h}(z_R, z_1)$  are calculated as described in chapter 8, eqns [8.2] and [8.8].

#### **B.3** Calculation of AOTx

The accumulated amount of ozone over a threshold value of x ppb is defined as:

$$AOTx = \int max(c - x, 0.0) dt$$
(B.3)

where the max function excludes negative values. The integral is taken over time, and varies with the critical level under consideration. For UNECE critical levels, only daylight values are counted - in the model we approximate this as hours when the solar zenith angle is  $87^{\circ}$  or less. For EU purposes, AOT40 is defined over a fixed hourly period - from 0800-2000 Central European Time.

For crops and natural vegetation AOT40 is generally calculated over 3 months (previously May-July). For forests a six month period is used (April-September). AOT60 has been used as an indicator of health-related effects, and for this purpose the integral is taken over 6 months. At the time of writing it is not clear how growing seasons will be defined for use in intergrated assessment modelling, but since the model calculates ozone over typically a full year then outputs can be tailored to suit a variety of needs.

#### **B.4** Stomatal Flux Calculations

The estimation of stomatal flux of ozone ( $F_{st}$ ) is based upon the assumption that the concentration of ozone at the top of the canopy represents a reasonable estimate of the concentration near the sunlit upper canopy leaves (or flag leaf in the case of wheat), at the upper surface of the laminar layer. If c(h) is the concentration of ozone at canopy top (height h, unit: m), in nmol m<sup>-3</sup>, then  $F_{st}$  is given by:

$$\mathbf{F}_{st} = c(h) \frac{1}{r_b + r_c} \frac{g_{sto}}{g_{sto} + g_{ext}} \tag{B.4}$$

Units for  $F_{st}$  are nmol m<sup>-2</sup> PLA s<sup>-1</sup>, where PLA is projected leaf area (m<sup>2</sup> m<sup>-2</sup>). The  $1/(r_b + r_c)$  term represents the deposition rate to the leaf through resistances  $r_b$  (quasi-laminar resistance) and  $r_c$  (leaf surface resistance). The fraction of this ozone taken up by the stomata is given by  $g_{sto}/(g_{sto} + g_{ext})$ , where  $g_{sto}$  is the stomatal conductance, and  $g_{ext}$  is the external leaf, or cuticular, conductance. As the leaf surface resistance,  $r_c$ , is given by  $r_c = 1/(g_{sto} + g_{ext})$ , we can also write eqn B.4 as:-

$$F_{st} = c(h) g_{sto} \frac{r_c}{r_b + r_c}$$
(B.5)

A value for  $g_{ext}$  has been chosen to keep consistency with the bulk canopy values evaluated in previous EMEP model versions:

$$g_{ext} = 1/2500$$
 (m s<sup>-1</sup>). (B.6)

Consistency of the quasi-laminar boundary layer is harder to achieve, so the use of a leaf-level  $r_b$  term (McNaughton and van der Hurk 1995) is suggested, making use of the cross-wind leaf dimension  $L_d$  and the wind speed at height h, V(h):

$$r_b = 1.3 \times 150 \sqrt{\frac{L_d}{V(h)}} \tag{B.7}$$

with units s m<sup>-1</sup>. The factor 1.3 accounts for the differences in diffusivity between heat and ozone. V(h) is calculated from the model's grid-centre wind speed by application of standard similarity functions for momentum. The model currently uses  $L_d=2$  cm for wheat and potato, and 4 cm for deciduous forests.

The core of the leaf ozone flux model is the stomatal conductance  $(g_{sto})$  multiplicative algorithm which has been developed over the past few years and incorporated within the EMEP ozone photochemical modelling framework (Emberson et al. 2001, 2000a,b, Simpson et al. 2000, 2001, 2003, Tuovinen et al. 2001, 2003). The multiplicative algorithm is identical to that used for the bulk canopy calculations (chapter 8,eqn [8.13]):

$$g_{sto} = g'_{max} f'_{phen} f'_{light} \max \{ f'_{min}, f'_T f'_{VPD} f'_{SWP} \}$$
(B.8)

except that  $g_{max}$  and the *f*-factors, here denoted f', can be defined differently for upper-canopy leaves and for integrated assessment modelling than for the bulk-canopy modelling used in normal deposition calculations.

### **B.5** Modelling for Wheat, Potato and Beech

Although there are obvious links between modelling of stomatal fluxes ( $F_{st}$ ) for Critical Levels calculations and modelling for deposition purposes, these calculations have important differences. The  $F_{st}$  values represent maximum uptake to a small portion of the canopy, not net uptake to the whole canopy. These  $F_{st}$  calculations are therefore performed as a parallel excercise and some articial features are introduced in order to provide more useful output for IAM purposes.

Firstly, wheat, potato and beech are treated for  $F_{st}$  modelling as 'artifi cial' species which do not affect the general dry deposition applied within the EMEP model - their effects on deposition are already taken account of in a realistic way through the categories temperate crops, root crops and deciduous forests (chapter 8). In order to allow for the possibility of these crops or beech forests growing anywhere within the grid (i.e. allowing for the possibility that the landuse maps may not be 100% accurate in this respect), these artifi cial categories are added as a tiny fraction of each grid square where any vegetation is present.

Table B:1 lists the values of the parameters used for these three vegetation categories. In general the functions used are similar to those of the deposition calculations except:

 $f_{temp}$ : an asymmetric form for the temperature function,  $f_{temp}$ , is introduced:

$$f'_{temp} = \frac{T - T_{min}}{T_{opt} - T_{min}} \left[ \frac{T_{max} - T}{T_{max} - T_{opt}} \right]^{bt}$$
(B.9)

where  $bt = (T_{max} - T_{opt})/(T_{opt} - T_{min})$ .

 $f_{light}$ : as Emberson et al. (2000b) but only sunlit leaves are used in the calculation

 $g_{max}$ : The conductance values for wheat and potato are higher than the values used for the generic temperate crop and root crop classes. These higher values are based upon a a review of recent literature for the crops in question (UNECE 2003, Karlsson et al. 2003).

More fundamental changes are implemented for the growing season and phenology functions for wheat and potatoes, because of the rather short time-periods for which these crops are sensitive to ozone. These biologically determined time-windows are diffi cult to identify using large-scale modelling, since they will vary considerably with climate, altitude, year-to-year meteorology and agricultural practice. In addition, use of short-time periods leads to the risk of missing events where ozone concentrations are high. Given these uncertainties in the timing of this period, UNECE (2003) recommended an alternative approach for IAM purposes upon which our implementation is based.

For these crop species, a fixed value of the phenology factor  $f_{phen}$  of 0.8 is applied continuously. LAI is set at the maximum value over this time period, with SAI set accordingly to LAI+1.5 (see eqn [8.19]).

 $F_{st}$ , and associated  $F_{st}$ Y values (fluxes exceeding a desired flux threshold Y) are then calculated continuously and output from the model on a daily basis. This then allows post-processing of the data to obtain the period of maximum accumulated 30-day  $F_{st}$ Y, denoted  $AF_{st}$ Y, during a 3-month growing season, as recommended bu UNECE (2003).

For beech forests, with a growing season of typically 6 months, the procedures are much simpler and standard values of LAI, SAI,  $f_{phen}$ , etc. are identical to those of temperate deciduous forests.  $F_{st}$  Y are then straightforwardly available, either on a daily basis or on a 6-monthly basis.

	Table B:1: Parameters used for Critical Level Modelling						
	Units	Wheat	Potato	Beech			
$g'_{max}$	mmole $O_3 m^{-2} PLA s^{-1}$ , (a)	450	750	134			
$f'_{min}$	fraction	0.01	0.01	0.13			
SGS	day number	(b)	(b)	90			
EGS	day number	(b)	(b)	270			
$f_{phen}$	fraction	0.8	0.8	(c)			
$\alpha$ (in f_light)	fraction	0.0105	0.005	0.006			
$T_{min}$	°C	12	13	-5			
$T_{opt}$	°C	26	28	22			
$T_{max}$	°C	40	39	35			
$\text{VPD}_{max}$	kPa	1.2	2.1	0.93			
$\text{VPD}_{min}$	kPa	3.2	3.5	3.4			
$SWP_{max}$	MPa	-0.3	-0.5	-0.05			
$SWP_{min}$	MPa	-1.1	-1.1	-1.5			

Table B:1: Parameters used for Critical Level Modelling

Notes: (a) Conversion of  $g'_{max}$  as given here to units of m s<sup>-1</sup> is done using equation [8.14] (b) Movable growing seasons - see text (c) function used as for deciduoius forest (DF) in Table 8.1 For more details, see UNECE (2003), Karlsson et al. (2003).

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